



Geotechnical Extreme Events Reconnaissance
Turning Disaster into Knowledge



Investigating Liquefaction Effects in Christchurch, New Zealand

Jonathan Bray, Ph.D., P.E., NAE

Faculty Chair in Earthquake Engineering Excellence

**with M. Cubrinovski, J. Zupan, C. Markham,
C. Beyzaei, R. Luque, M. Riemer, M. Stringer & M. Taylor**
University of California - Berkeley & Univ. of Canterbury

Sponsors: National Science Foundation & Earthquake Commission New Zealand



Acknowledgements



Graduate Students & Post-Docs:

- Josh Zupan, Merrick Taylor, Chris Markham, Christine Beyzaei, Kelly Robinson, Anna Winkley, Duncan Henderson, Yasuyo Hosono, Matthew Hughes, Kun Ma, Simona Giorgini, Masoud Moghaddasi, Catherine Tatarniuk, Yusa Muhamed, Jawad Arefi, Patrick Kailey, Kelvin Loh, ...

Collaboration with:

- Tom O'Rourke of Cornell Univ., R. Green of Virginia Tech, B. Bradley of Univ. of Canterbury, K. Stokoe & B. Cox of Univ. of Texas at Austin, L. Wotherspoon of U. of Auckland, S. van Ballegooy, M. Jacka, R. Wentz & others of Tonkin & Taylor, US-GEER team, Univ. of California, Berkeley, Univ. of Arkansas, JGS team, Kiso-Jiban Cons., McMillan Drilling Ser., Fugro, CERA, NHRP, CCC

Financial support:

- U.S. National Science Foundation
- EQC (NZ Earthquake Commission)
- MBIE (NZ government)
- Natural Hazards Research Platform & UC
- ECan (Environment Canterbury)



George F. Sowers



OUTLINE

- Christchurch Geologic Setting
- 2010-2011 Canterbury Earthquakes
- Widespread Liquefaction Effects
- Site Investigations
- Evaluation of Liquefaction Effects
- Conclusions

New Zealand

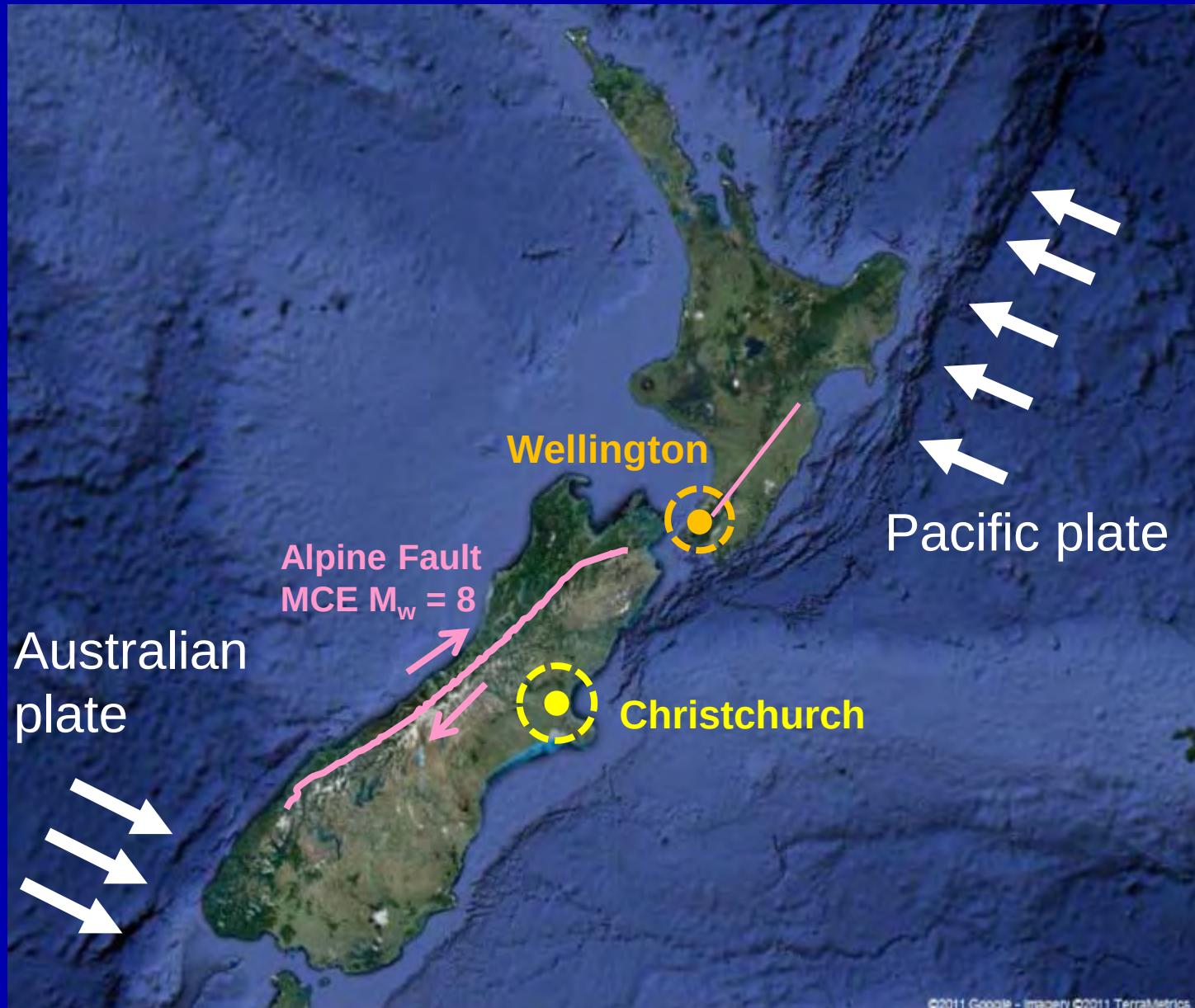


Image © 2011 GeoEye
Image © 2011 DigitalGlobe
© 2011 Whereis® Sensis Pty Ltd
Data SIO, NOAA, U.S. Navy, NGA, GEBCO

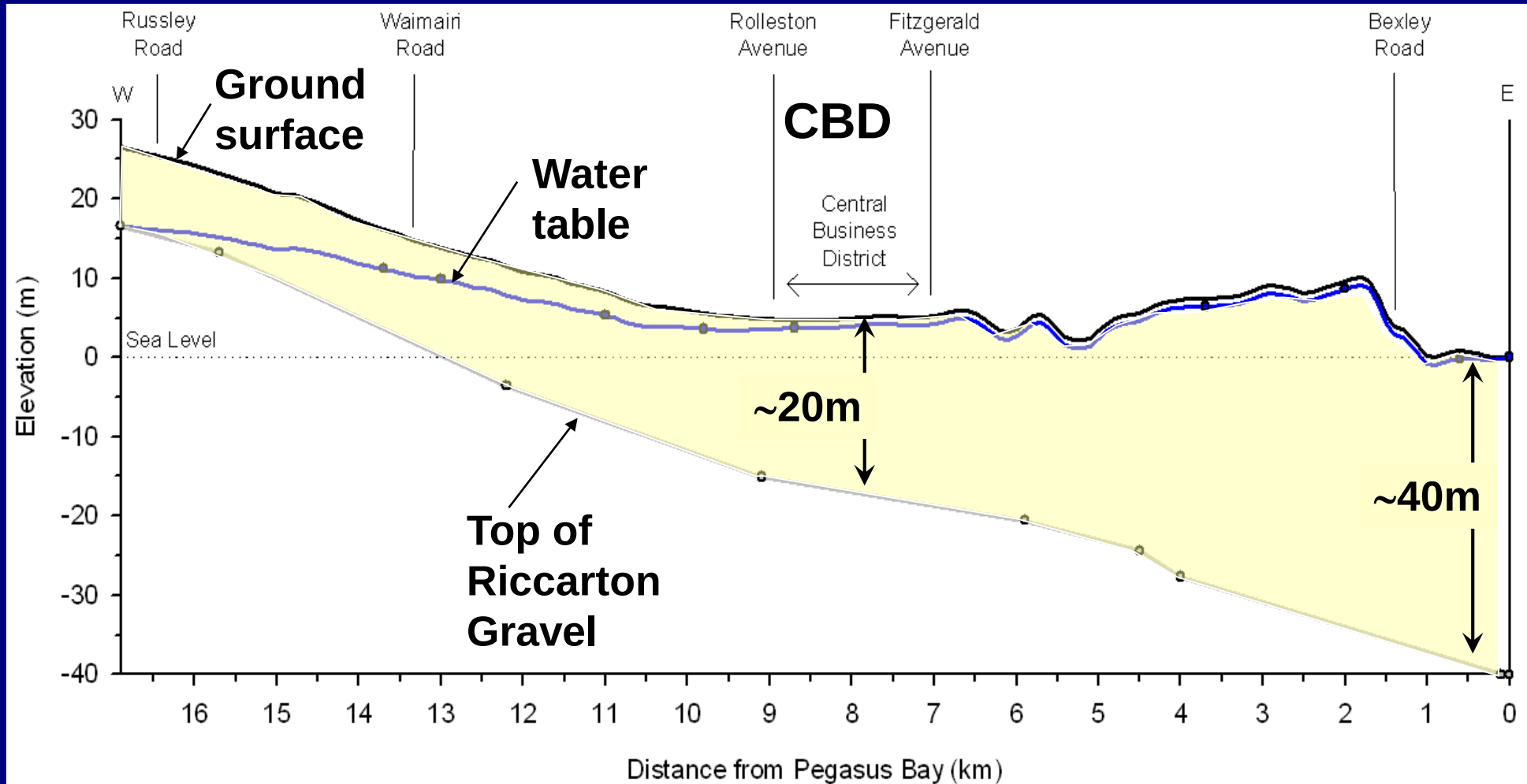
43° 29' 22.09" S 172° 14' 05.28" E elev 485 ft

Eye alt 98.07 mi

Google earth

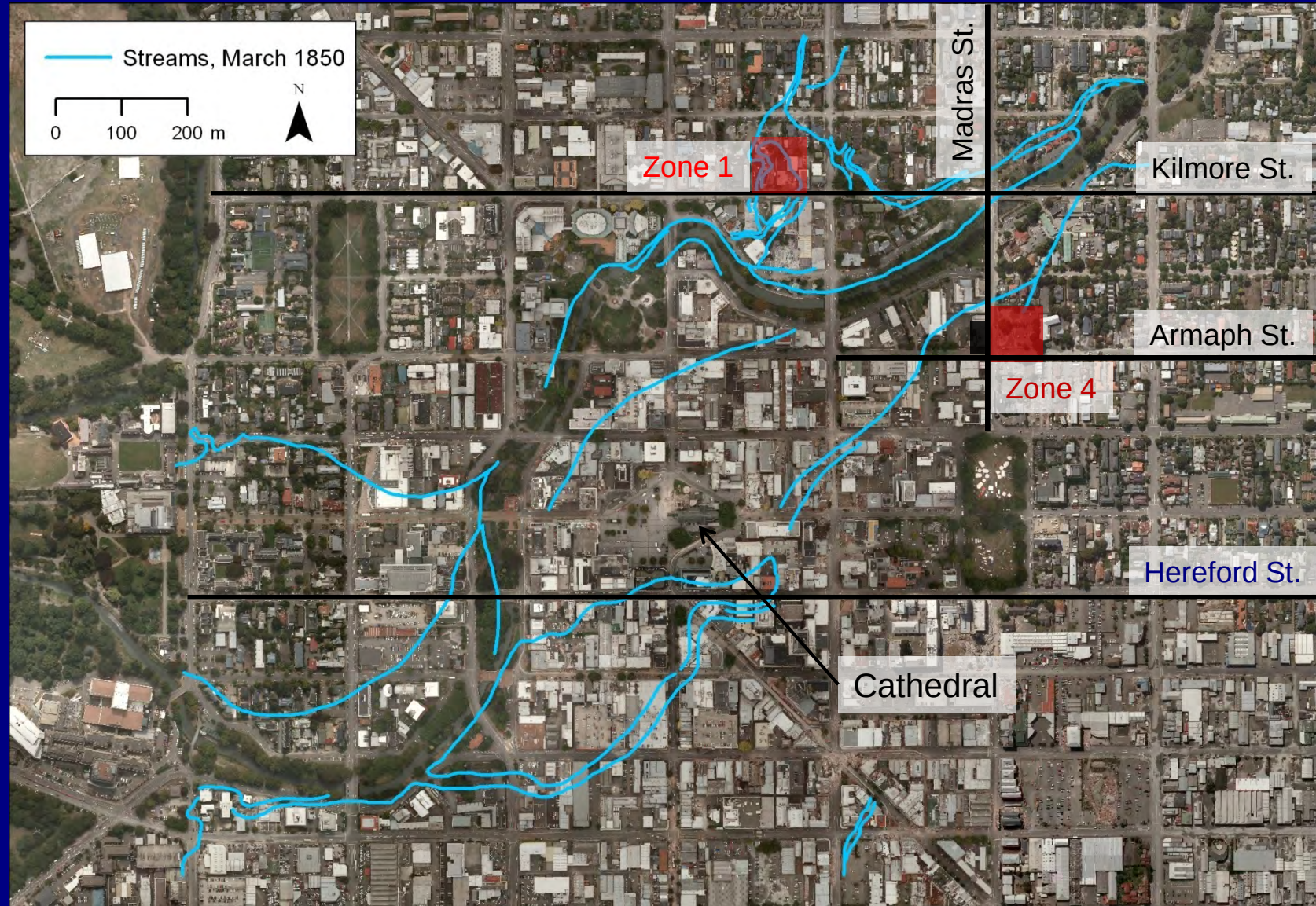


East-West Cross Section through Christchurch



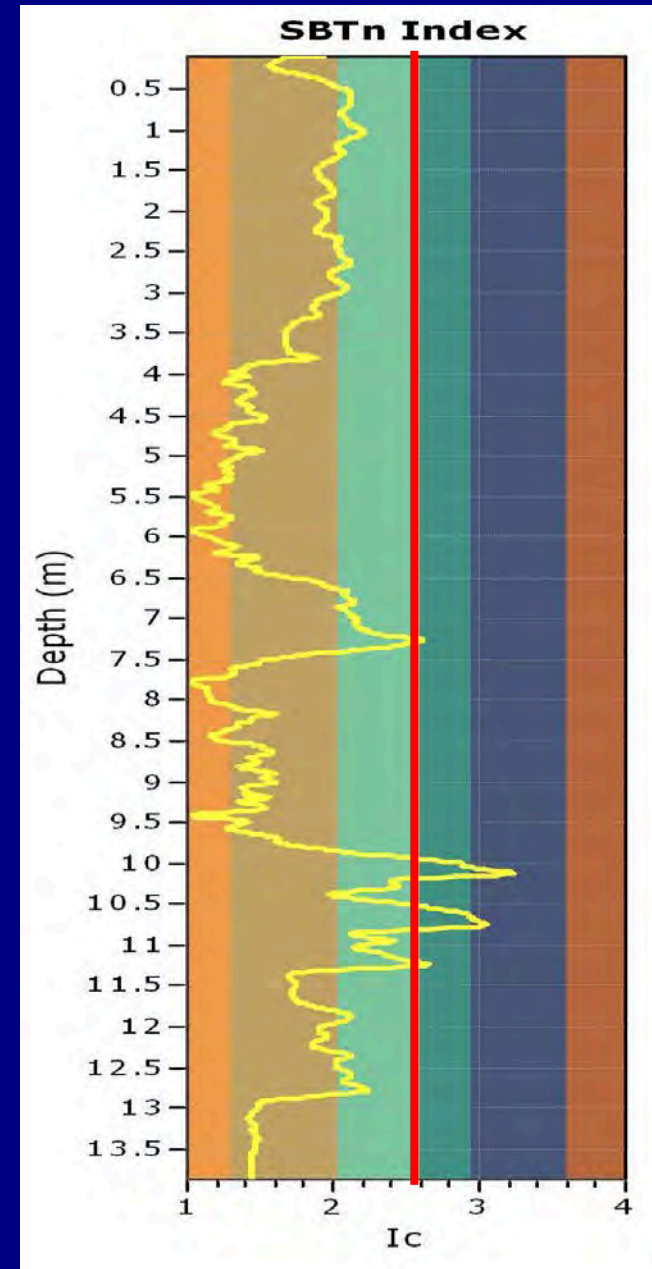
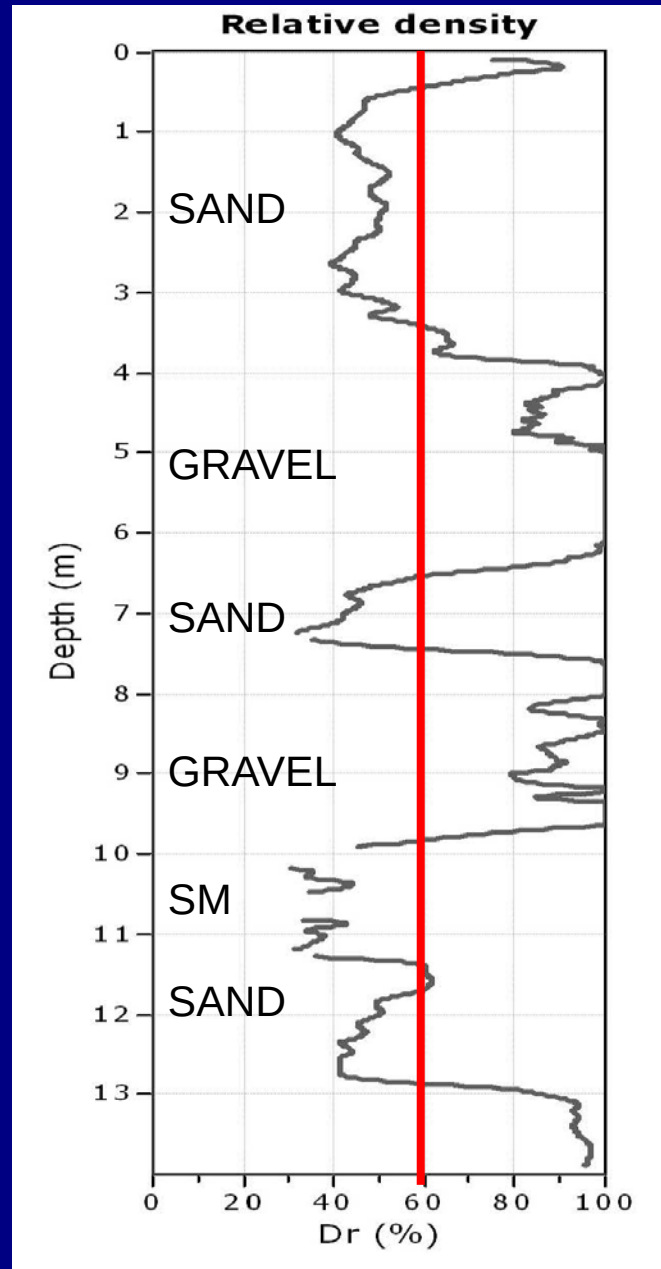
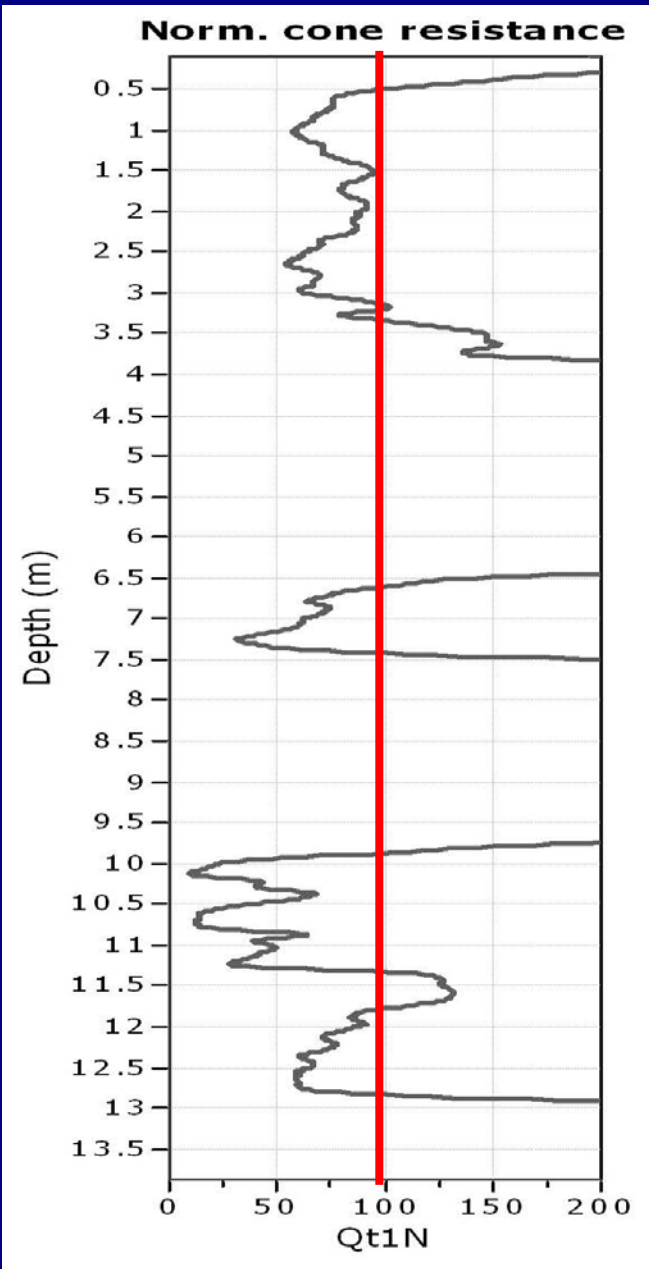
from M. Cubrinovski

Streams in Central Christchurch (from 1850's 'Black Maps')

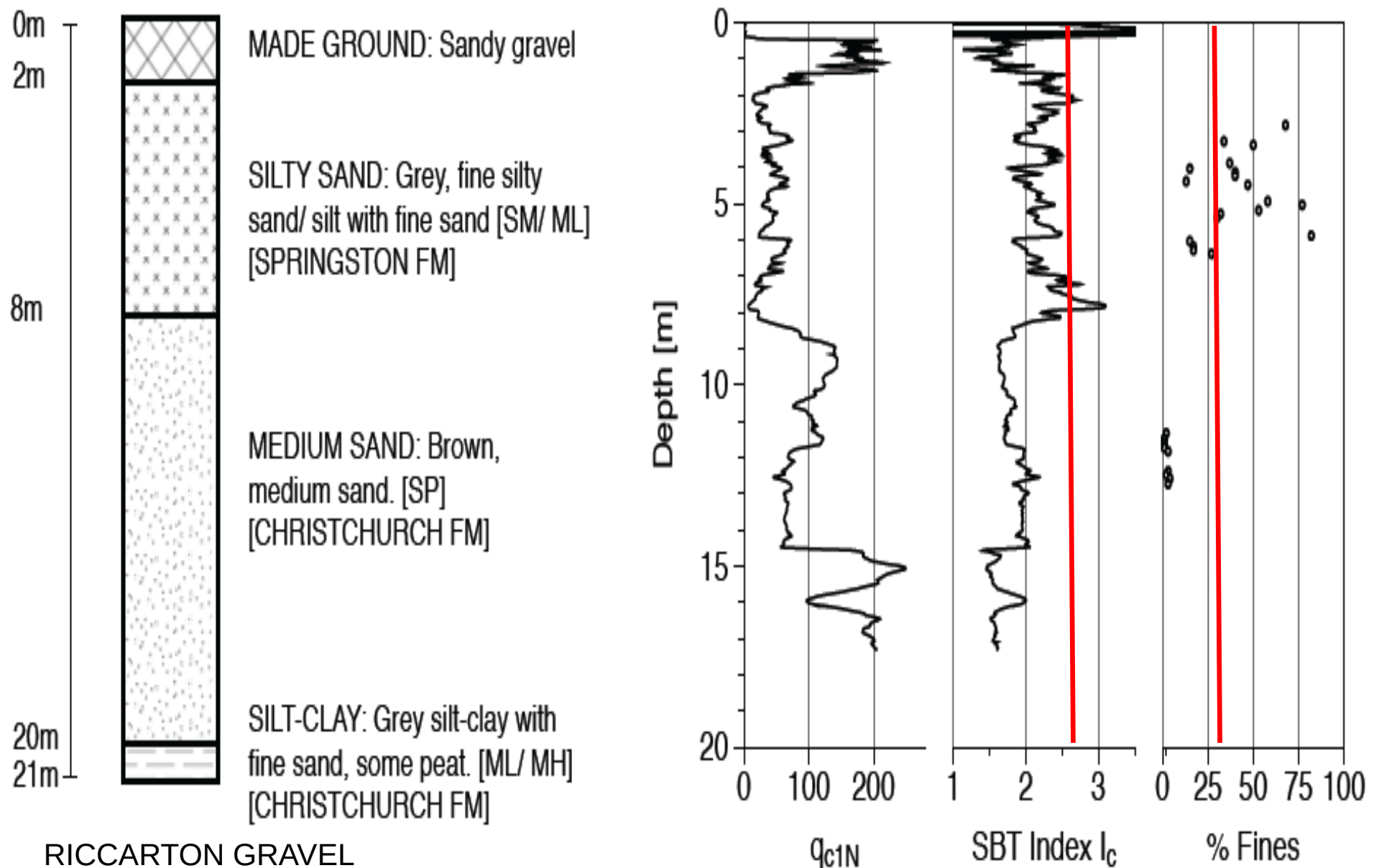


from M. Cubrinovski

Subsurface Profile: Madras & Armagh, Zone 4

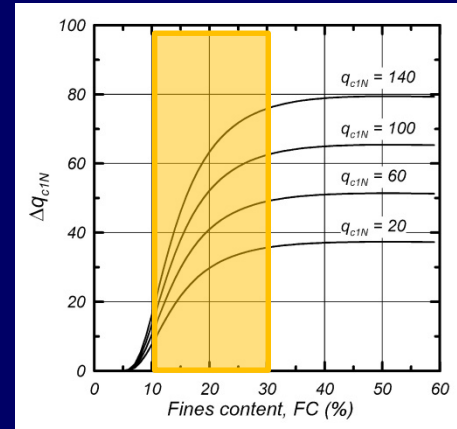
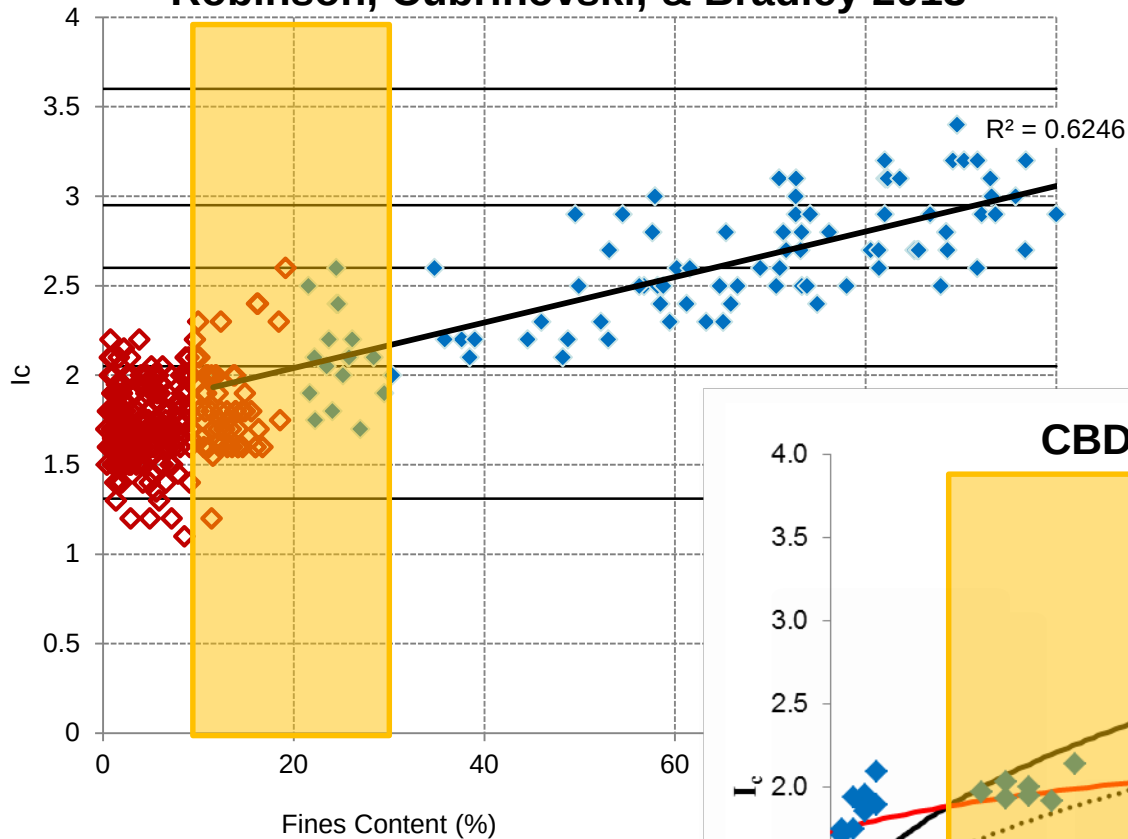


Subsurface Profile at Kilmore St. in Zone 1



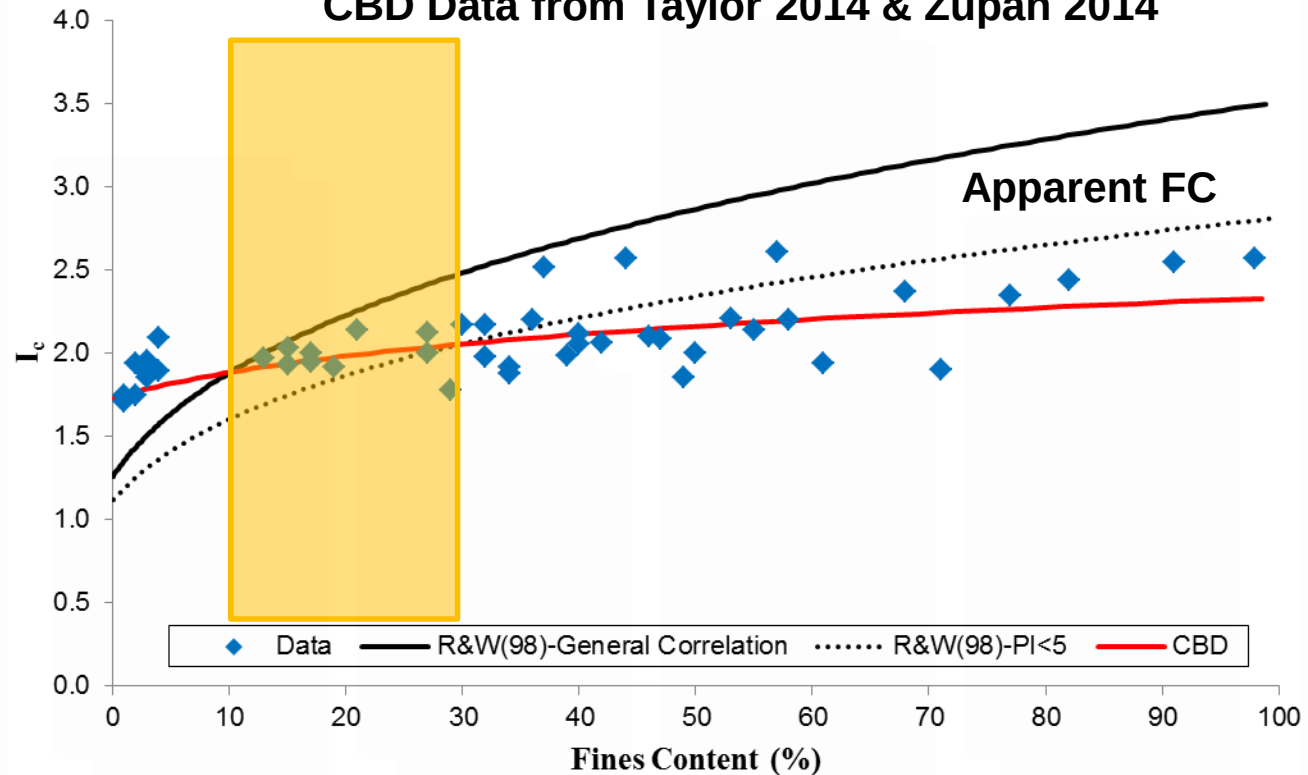
I_c – Fines Content Correlations

Robinson, Cubrinovski, & Bradley 2013



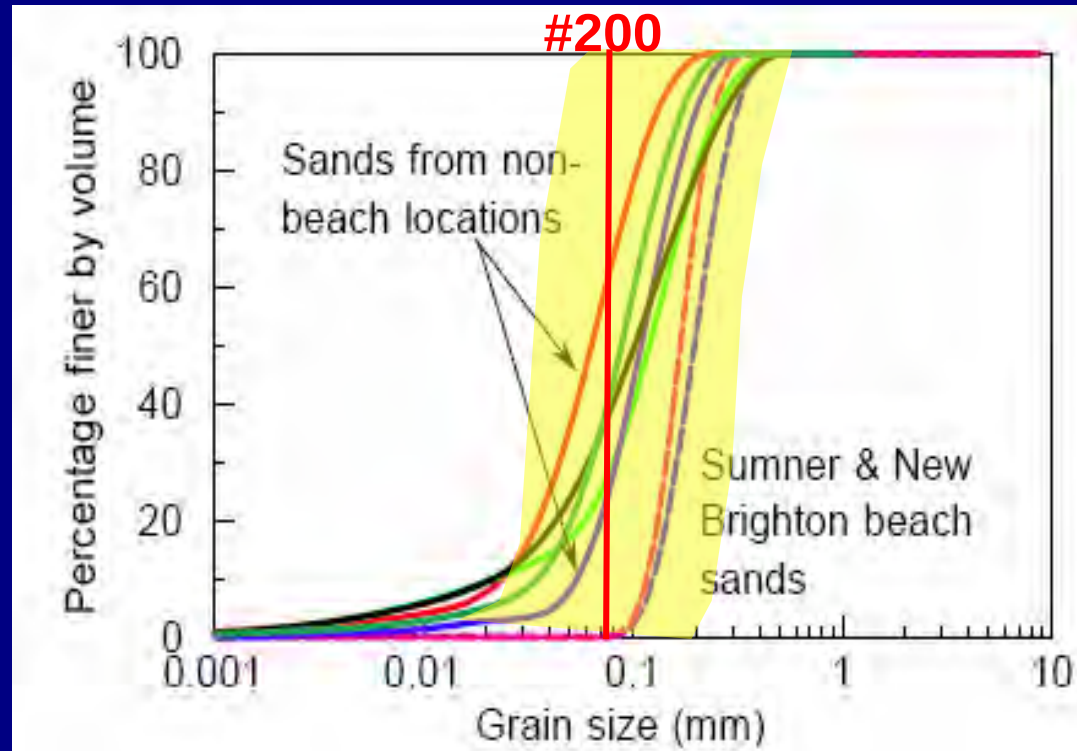
Idriss & Boulanger 2008

CBD Data from Taylor 2014 & Zupan 2014



Grain-Size Composition of Soils

Sand ejecta samples from areas in Christchurch



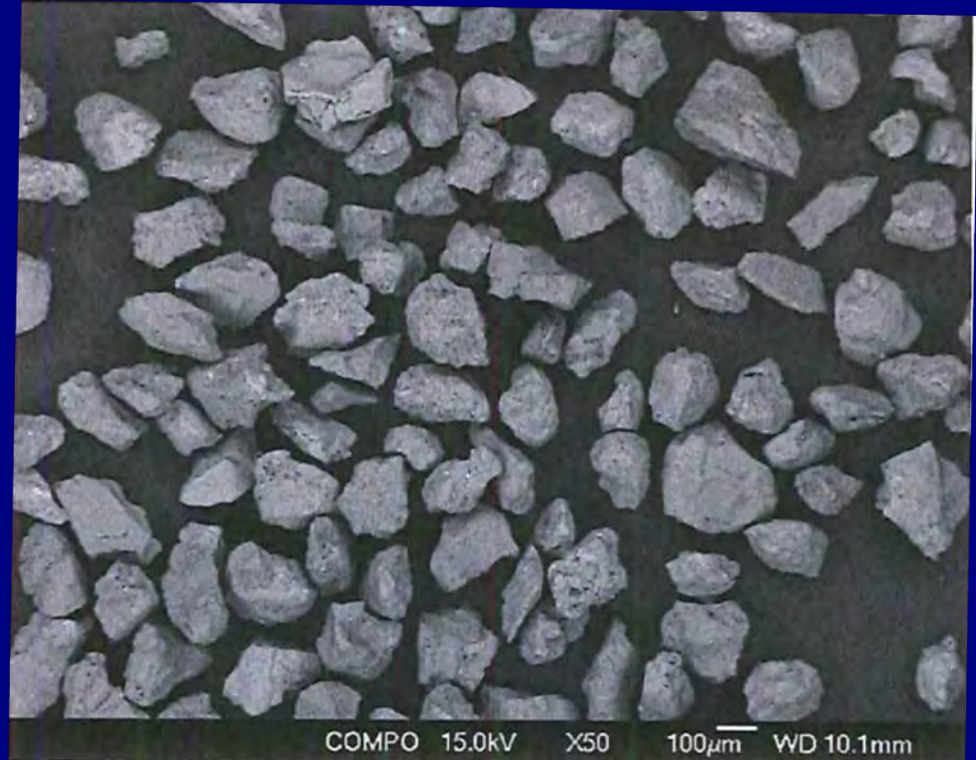
(Courtesy of M. Pender, Univ. of Auckland)

- Clean fine sands and non-plastic silty sands
- Does soil '*know*' that the #200 sieve exists?

Particle Shape of Soils



Soil sample Site 3 Natural Soil Panel 1 at -1.76m R.L at 50x magnification.



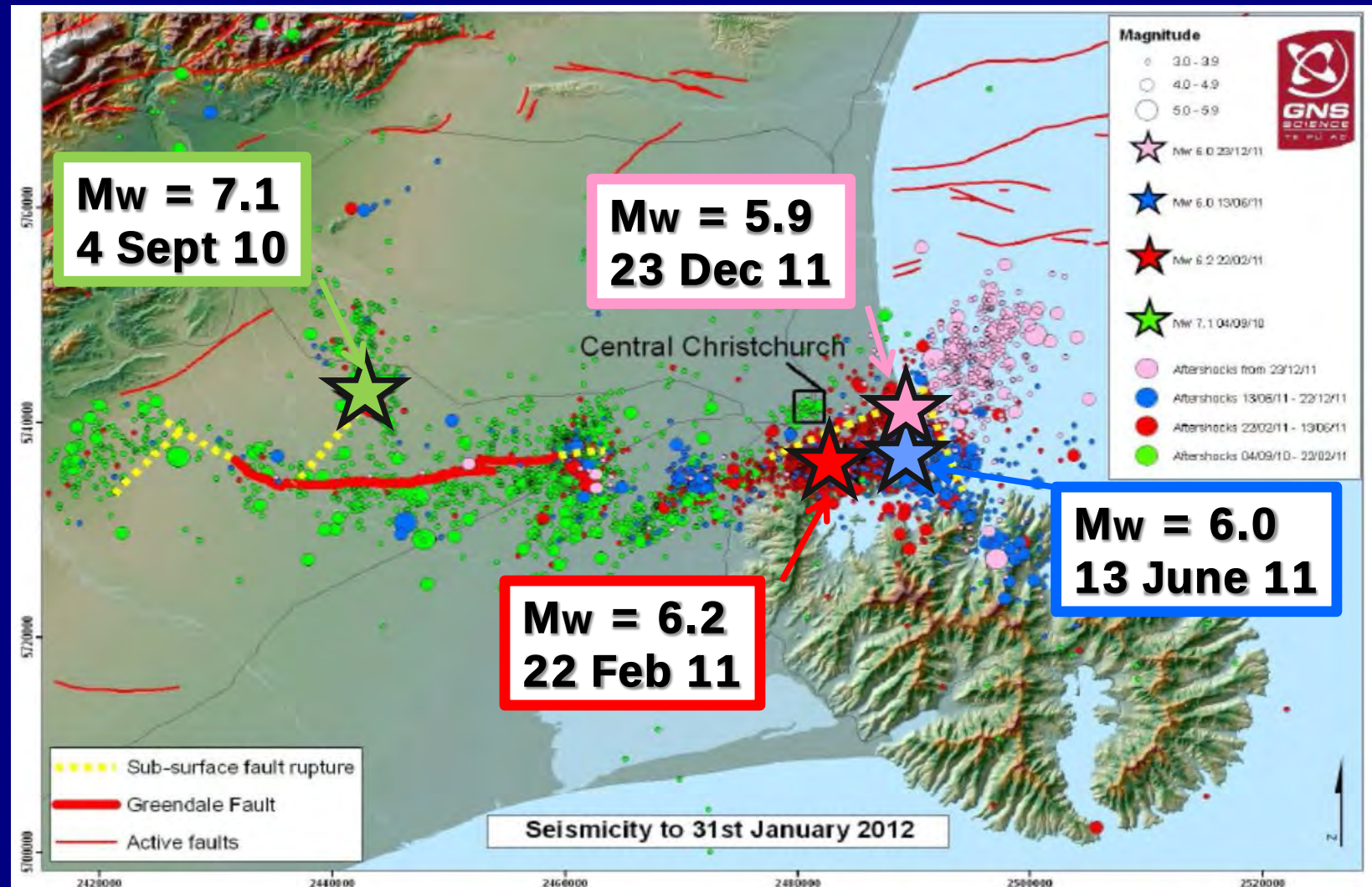
Soil sample Site 4 Natural Soil Panel 2 at -1.94m R.L at 50x magnification.

2010-2011 Canterbury Earthquakes

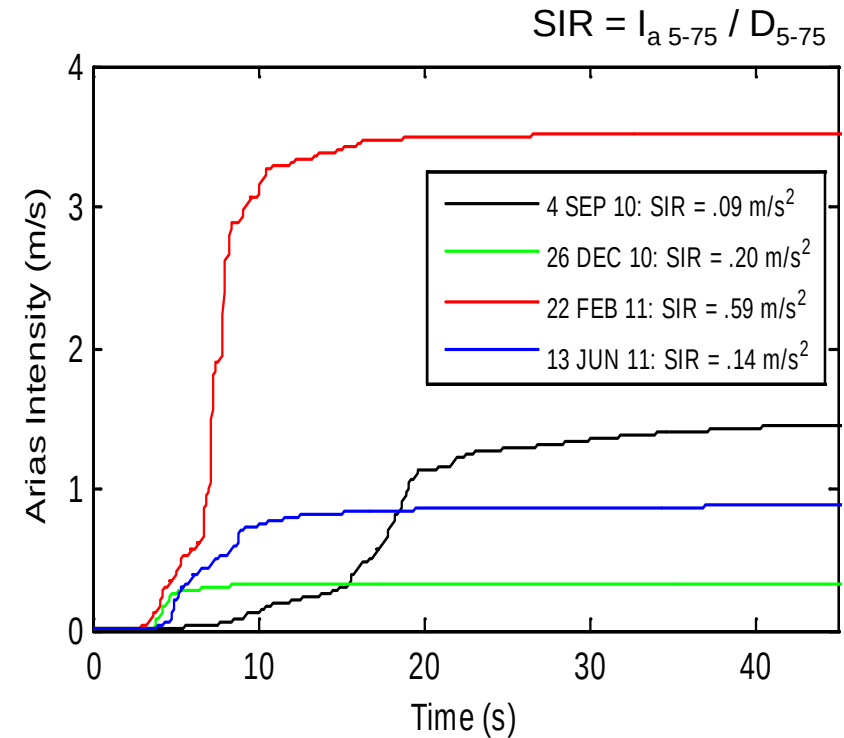
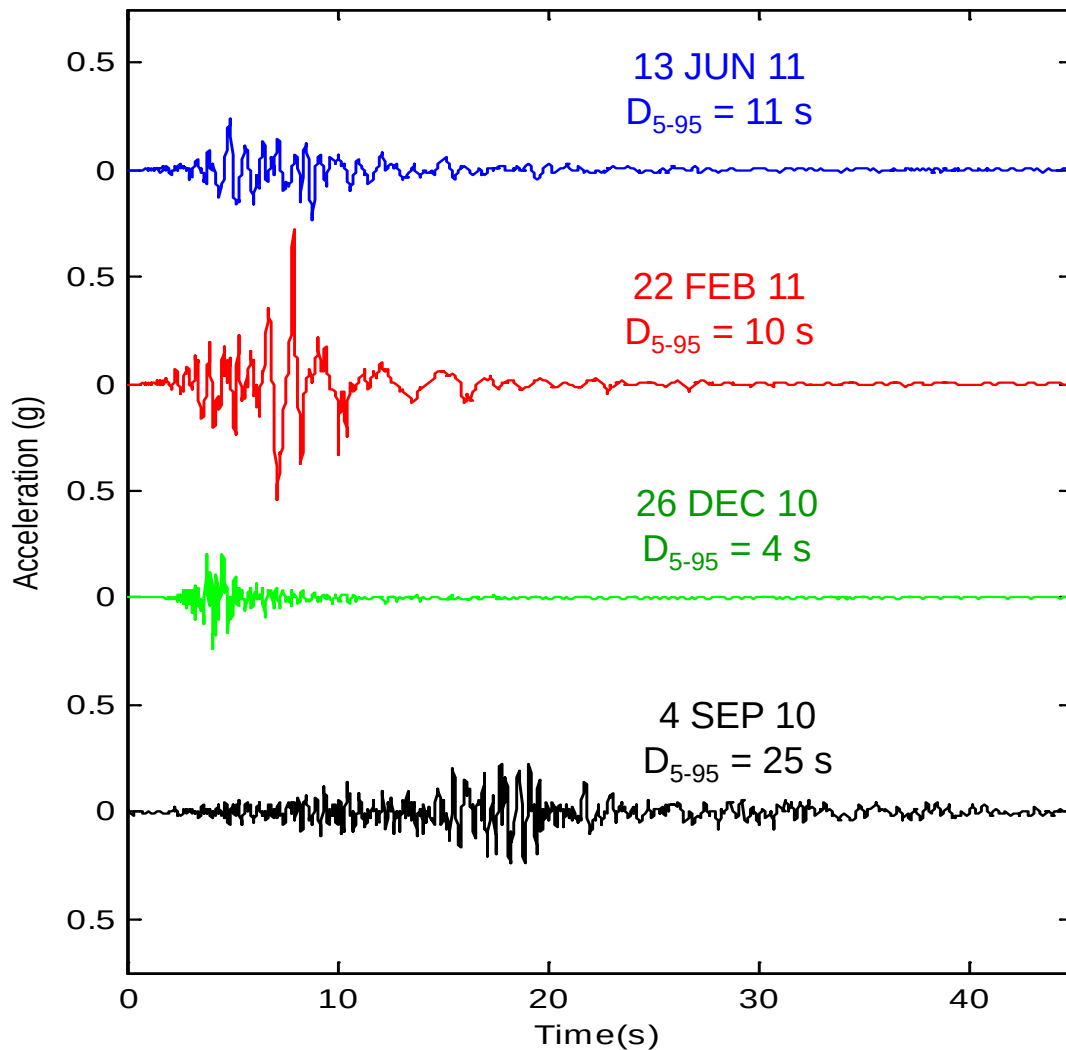


- **185 fatalities in the 22 FEB 2011 Christchurch M 6.2 Earthquake**
- **Central Business District (CBD) destroyed**
- **20,000 residential properties severely affected & 8,000 abandoned**
- **700 km of waste water pipes with loss/limited service**
- **Total economic loss: ~ NZ\$30B (25% of its GDP)**

2010-2011 Canterbury Earthquake Sequence



Seismic Demand in CBD



REHS 092 Recordings (GeoNet)



Canterbury EQs: Widespread Liquefaction

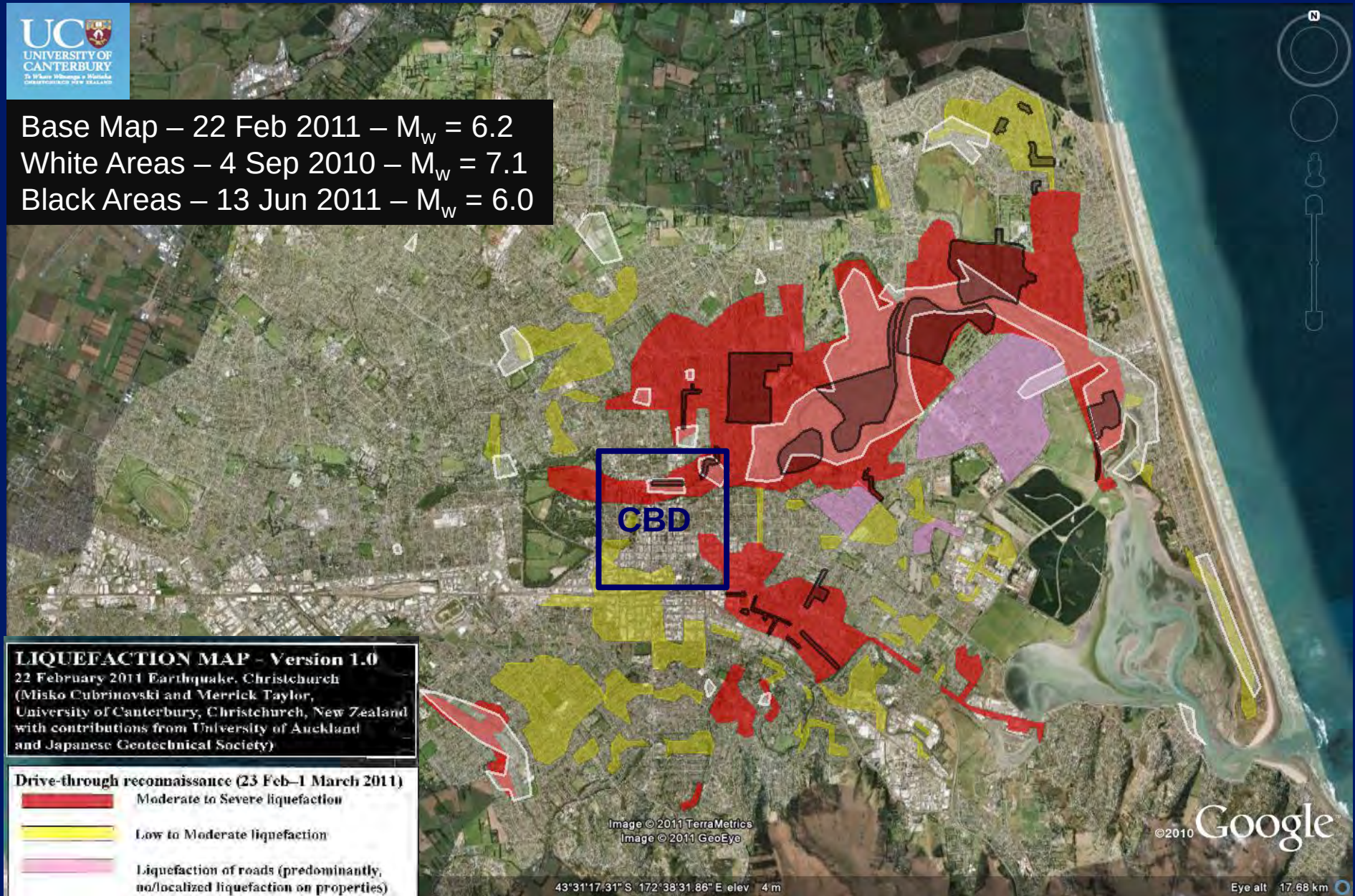


Cubrinovski et al. 2011

Liquefaction from 3⁺ EQs (Cubrinovski 2011)



Base Map – 22 Feb 2011 – $M_w = 6.2$
White Areas – 4 Sep 2010 – $M_w = 7.1$
Black Areas – 13 Jun 2011 – $M_w = 6.0$



Repeated Liquefaction Events



4 Se

22 Feb 2011



16 April 2011



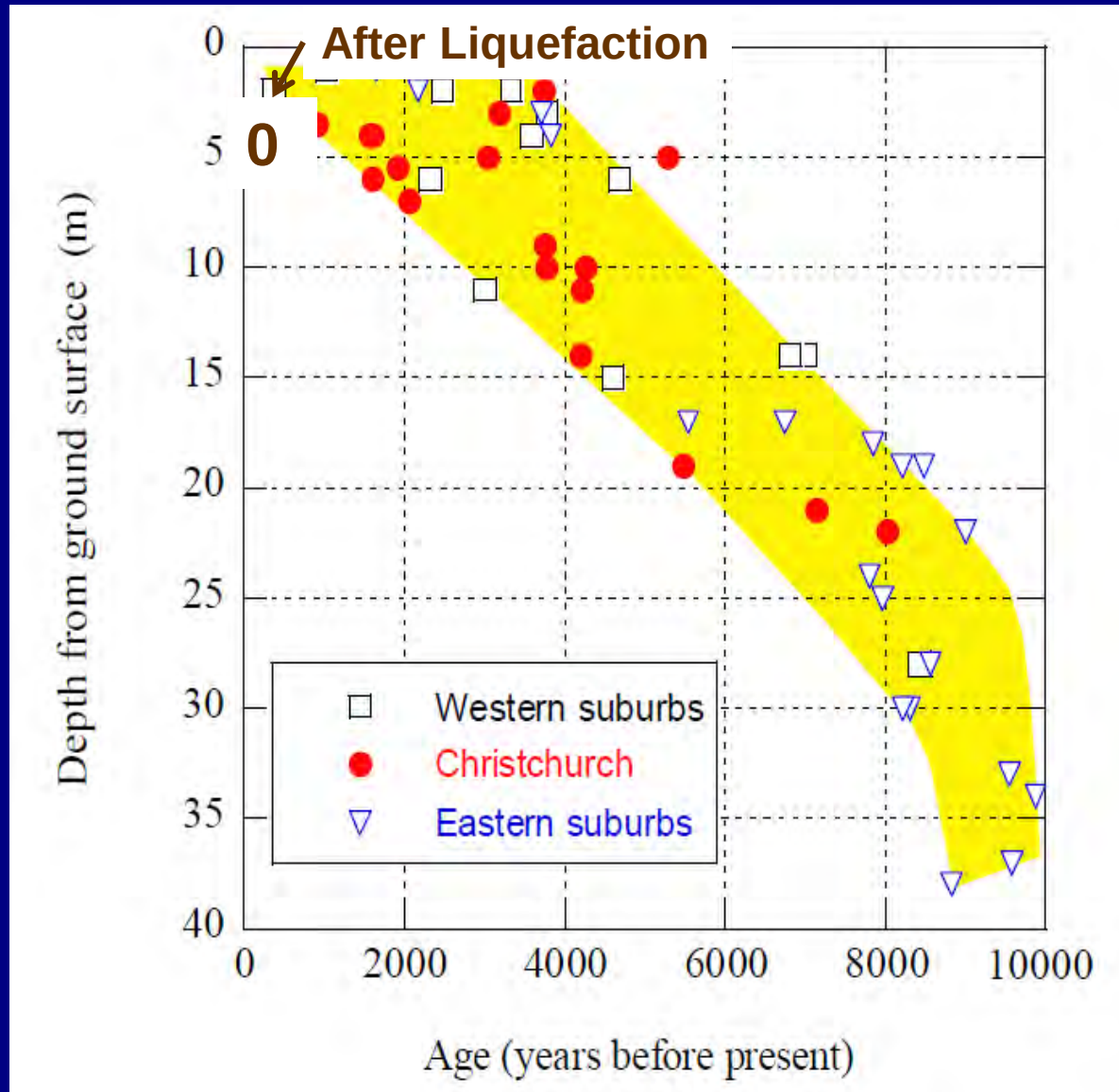
13 June 2011



13 June 2011: Part 2

(Mark Quigley: Avonside)

Age of Christchurch Soils



Liquefaction Effects in Christchurch



From M. Cubrinovski



Effects of Lateral Spreading



from M. Cubrinovski

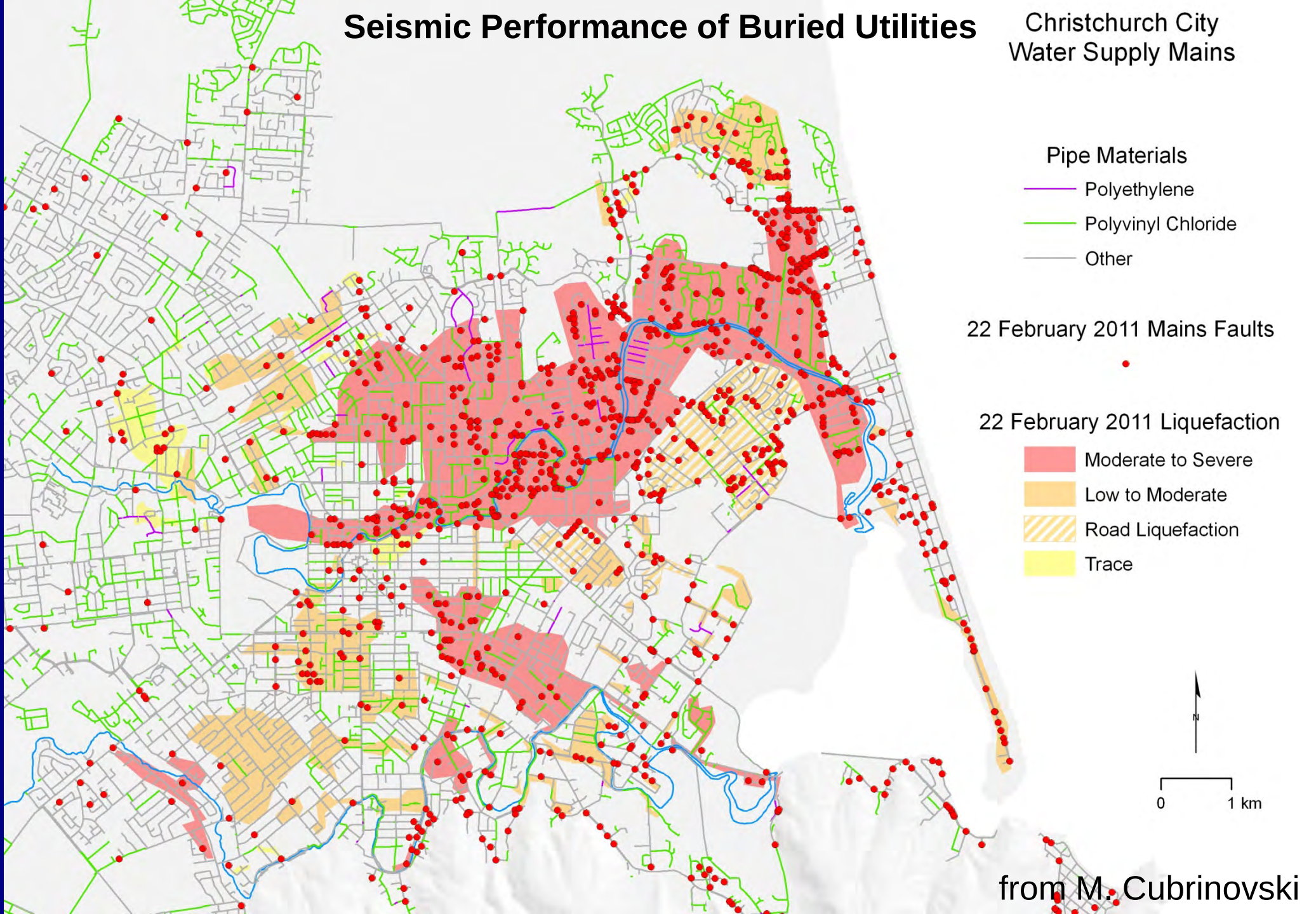
Performance of House Foundations



from M. Cubrinovski

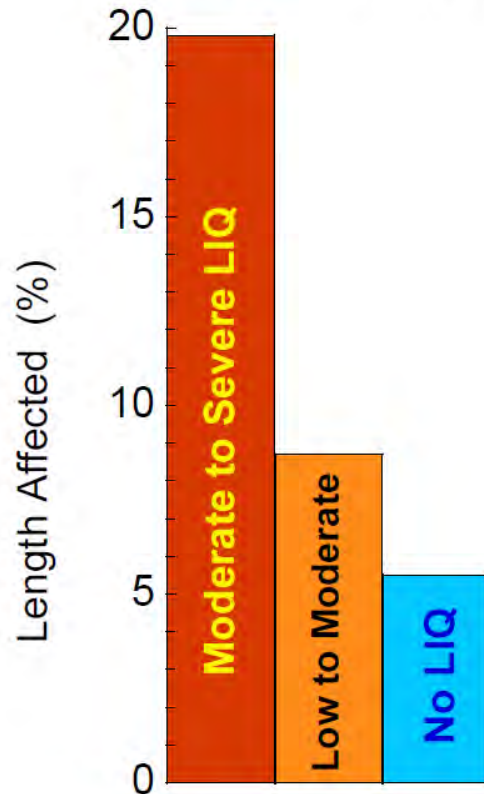
Seismic Performance of Buried Utilities

Christchurch City
Water Supply Mains

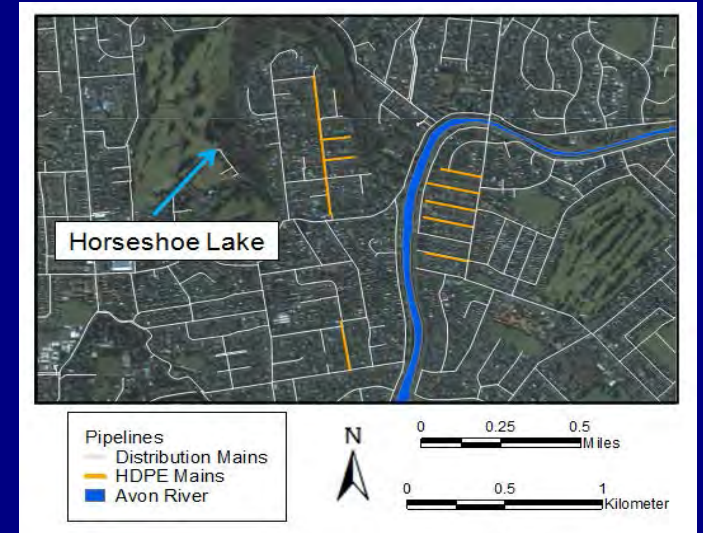
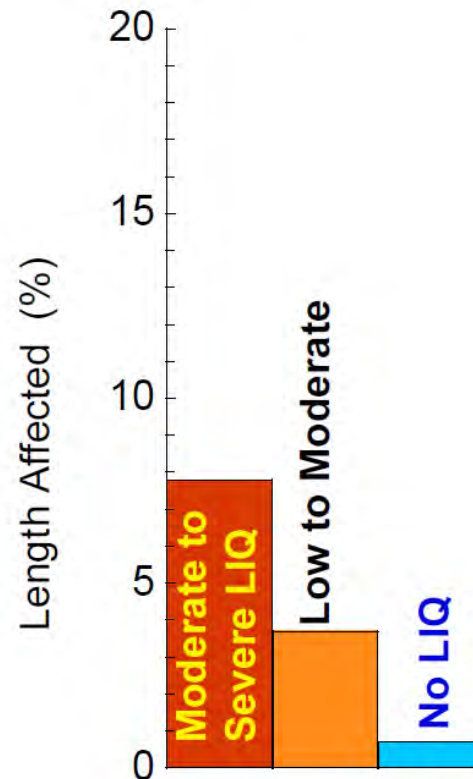


Effects of Liquefaction on Water Mains

Asbestos Cement Pipes



PVC Pipes

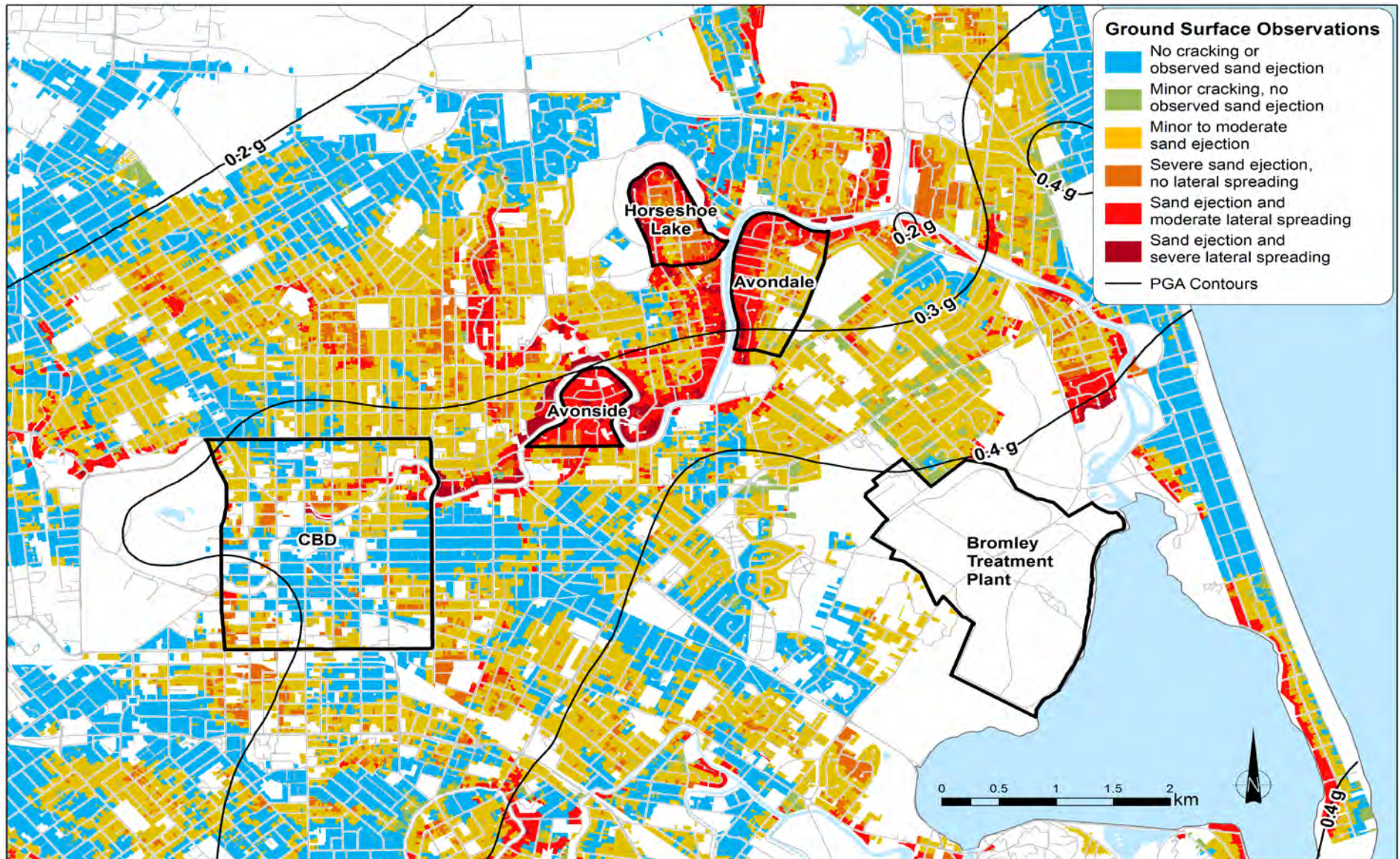


No failure of HDPE pipes installed after 2010 Darfield EQ

from M. Cubrinovski

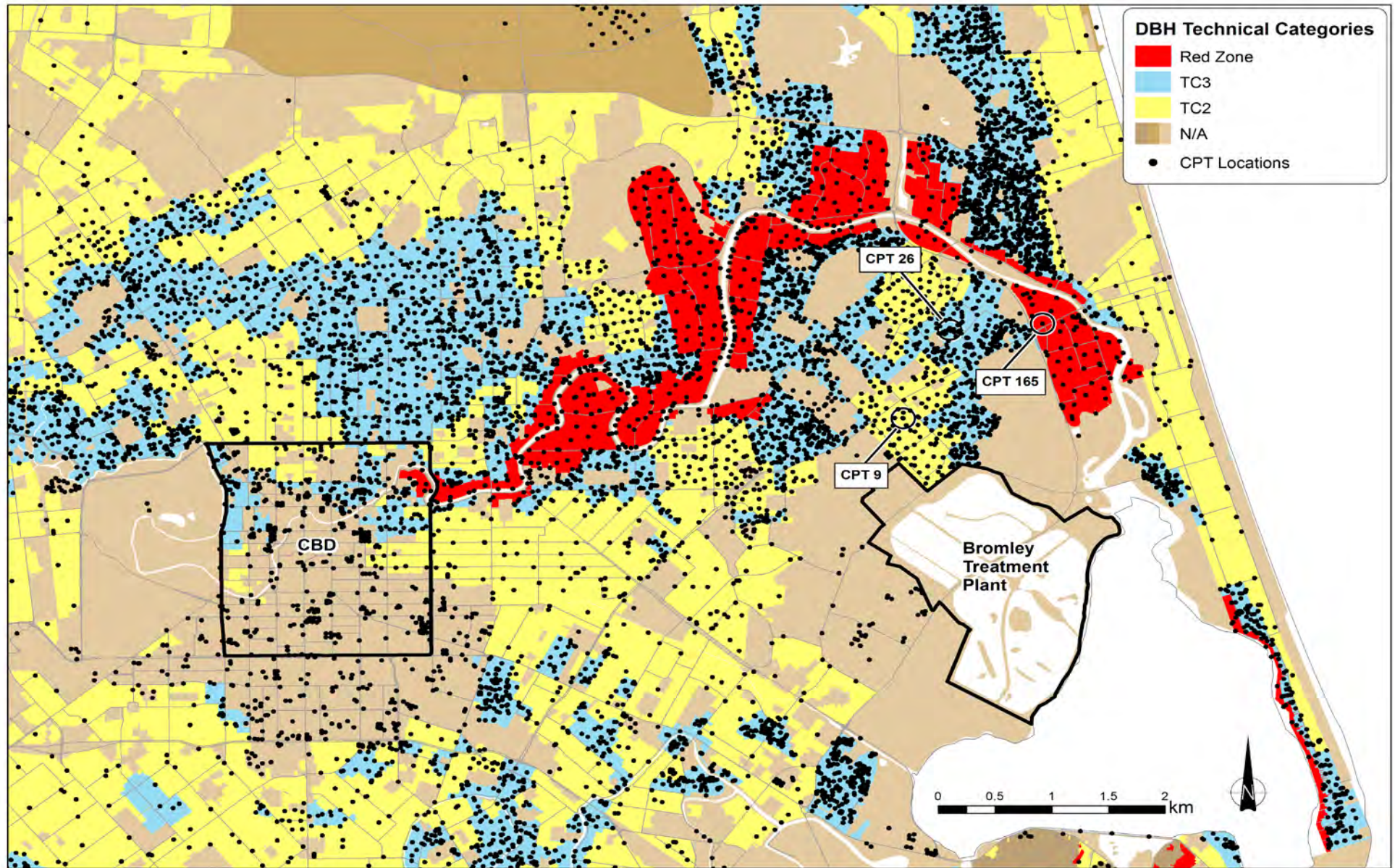
from T. O'Rourke

Liquefaction in Christchurch



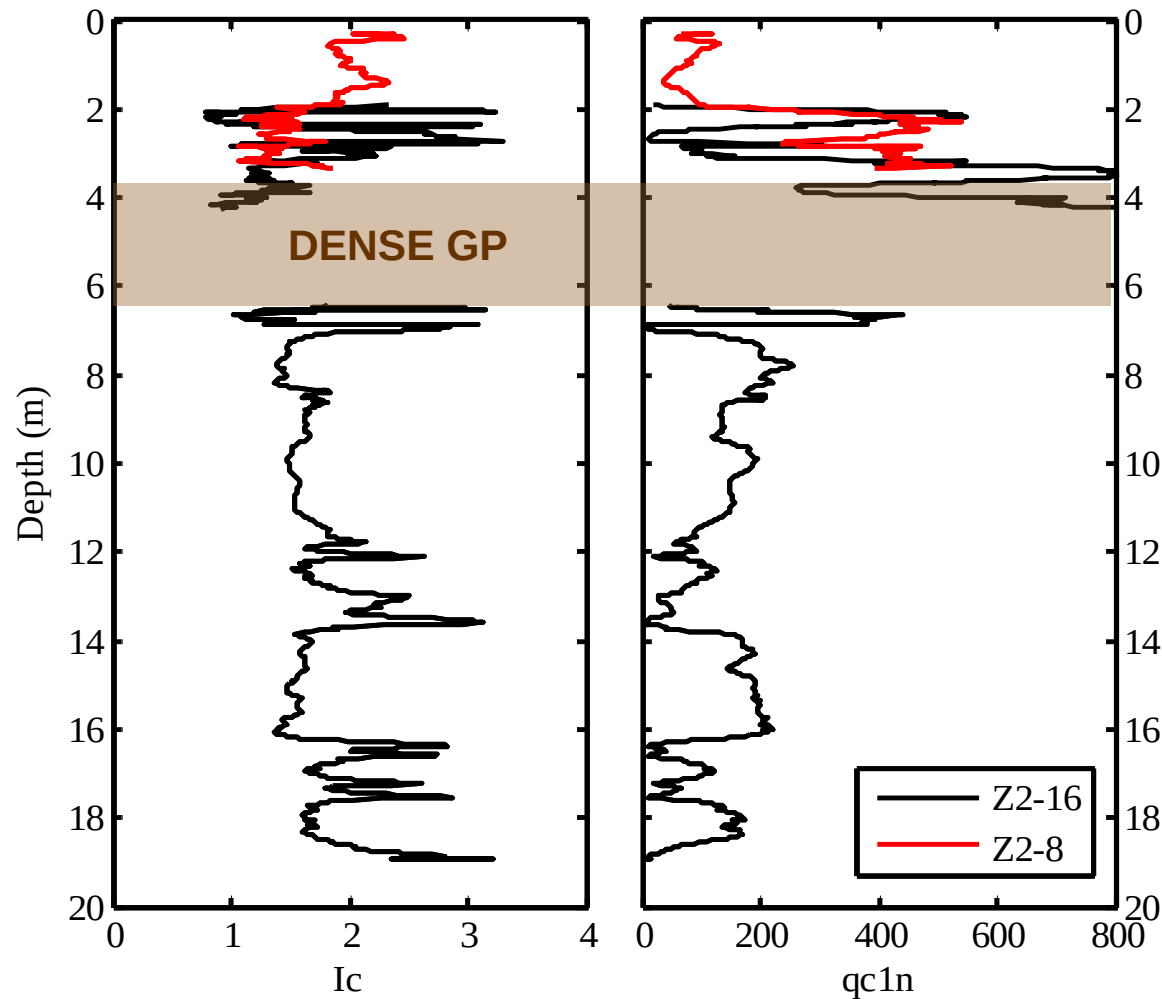
(van Ballegooy et al. 2014)

CPT Investigations in Christchurch



(van Ballegooy et al. 2014)

CPT Investigations at Shallow Gravel Sites



CPT Z2-8 in July 2011: refusal at only 3.4 m

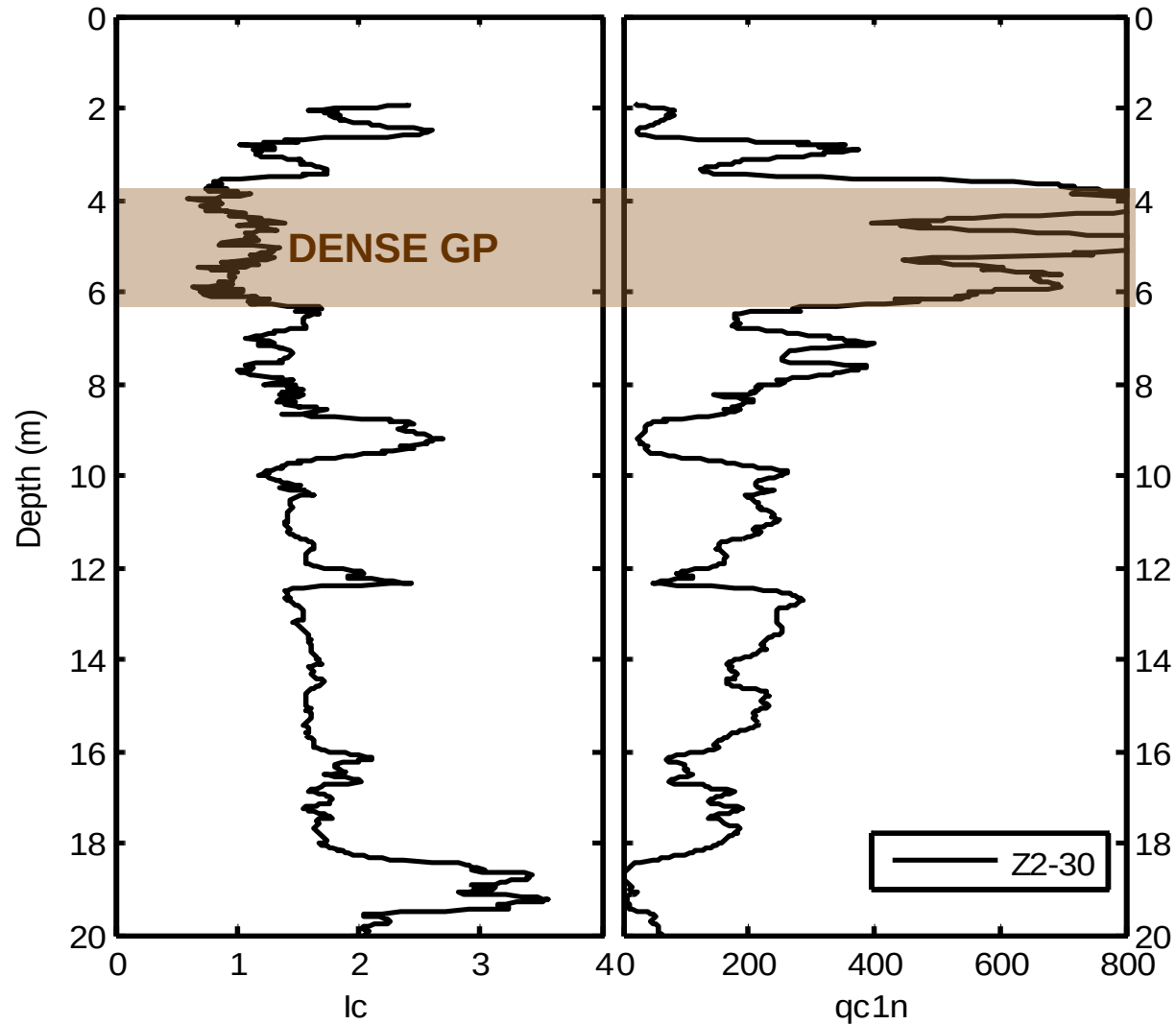
CPT Z2-16 in March 2013: advanced to 9 m with pre-collaring

CPT Investigations at Shallow Gravel Sites



Pre-Collaring System (developed by I. Haycock, McMillan Drilling Services)

CPT Investigations at Shallow Gravel Sites



$q_c = 60^+ \text{ MPa}$

CPT Z2-30 in April 2013: advanced through gravel with 20 ton truck

Fugro

Capturing Liquefaction Effects

Liquefaction Potential Index (Iwasaki et al. 1982)

$$LPI = \int_0^{20} F_1 W(z) dz$$

$$W(z) = 10 - 0.5z$$

$$F_1 = 1 - FS \text{ for } FS < 1.0, F_1 = 0 \text{ for } FS \geq 1.0,$$

z = depth (m) below the ground surface

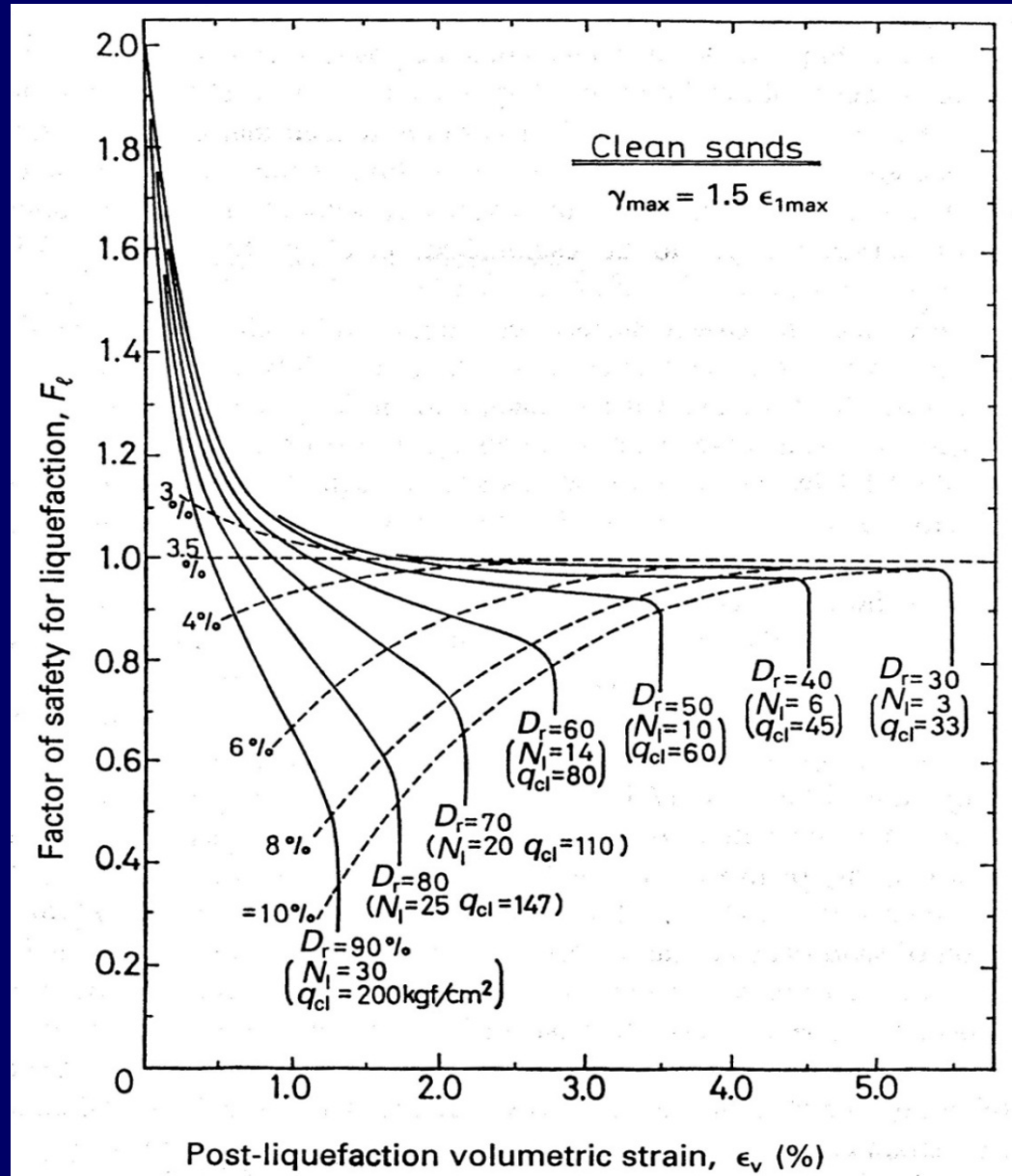
Liquefaction Severity Number (van Ballegooy et al. 2014)

$$LSN = 1000 \int \frac{\varepsilon_v}{z} dz$$

ε_v = post-liquefaction volumetric reconsolidation strain (decimal)

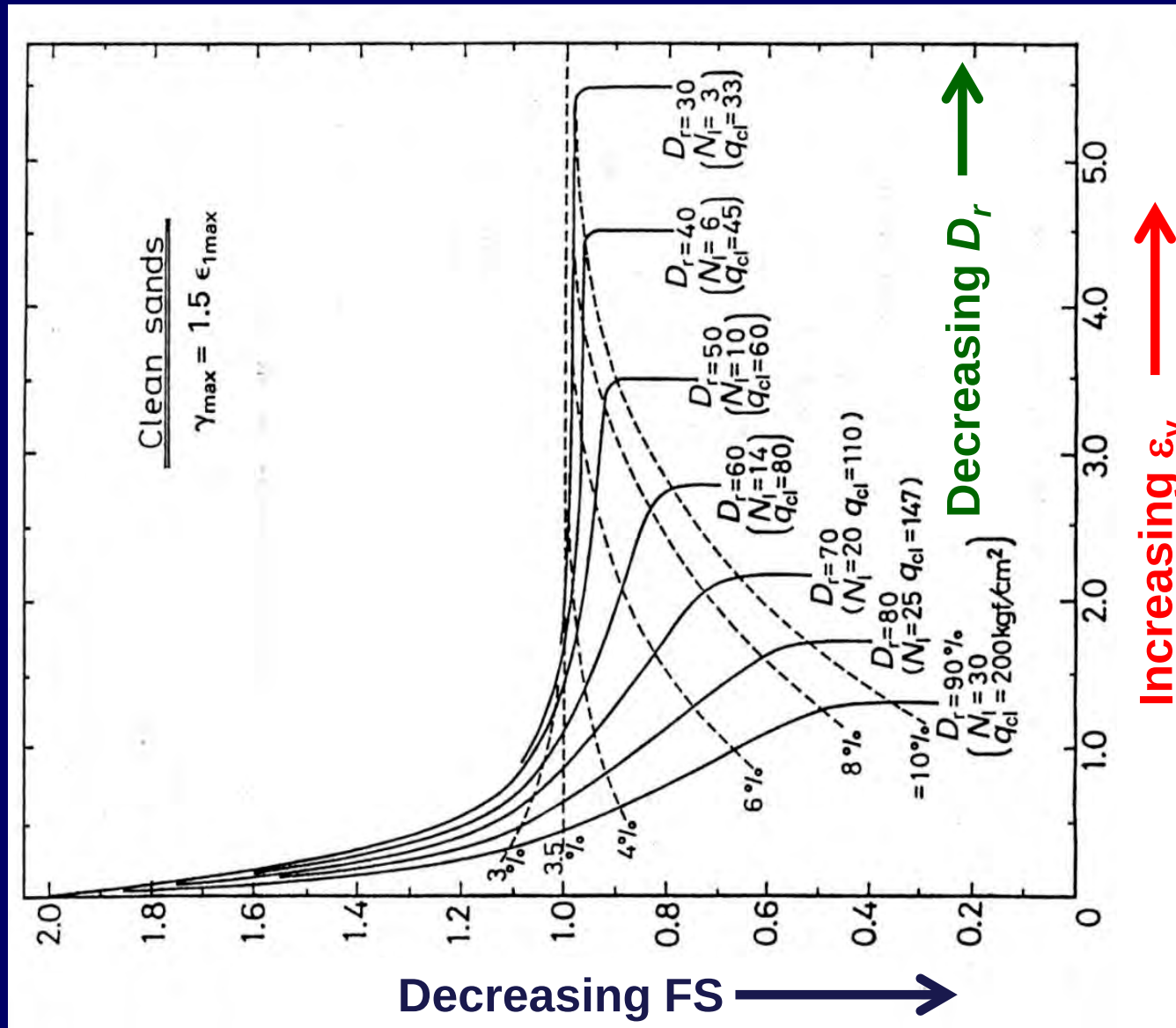
z = depth (m) below the ground surface > 0.0

Post-Liquefaction Volumetric Strain (ϵ_v)



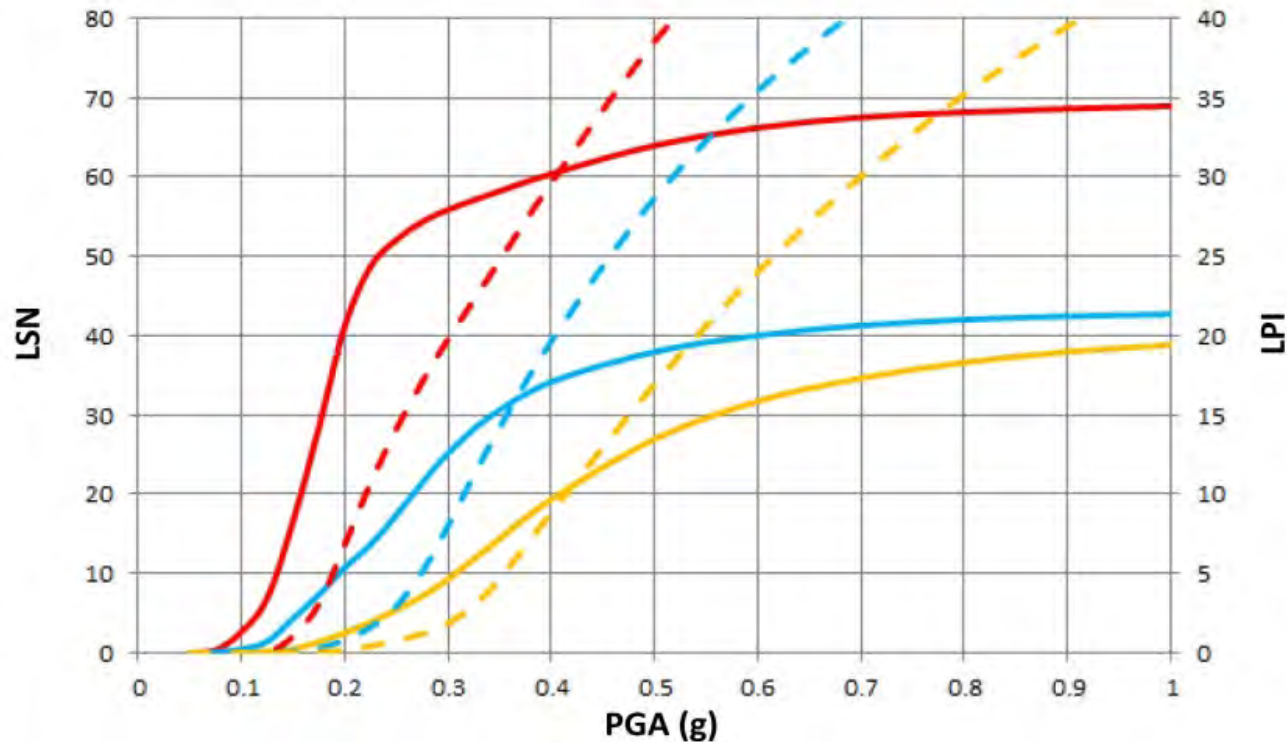
$$LSN = 1000 \int \frac{\epsilon_v}{z} dz$$

Post-Liquefaction Volumetric Strain (ϵ_v)



$$LSN = 1000 \int \frac{\epsilon_v}{z} dz$$

Capturing Liquefaction Effects



Legend:

CPT 165 (Red Zone)
CPT 26 (TC3)
CPT 09 (TC2)

LPI



LSN



$$LSN = 1000 \int \frac{\varepsilon_v}{z} dz$$

LSN considers when $FS > 1$

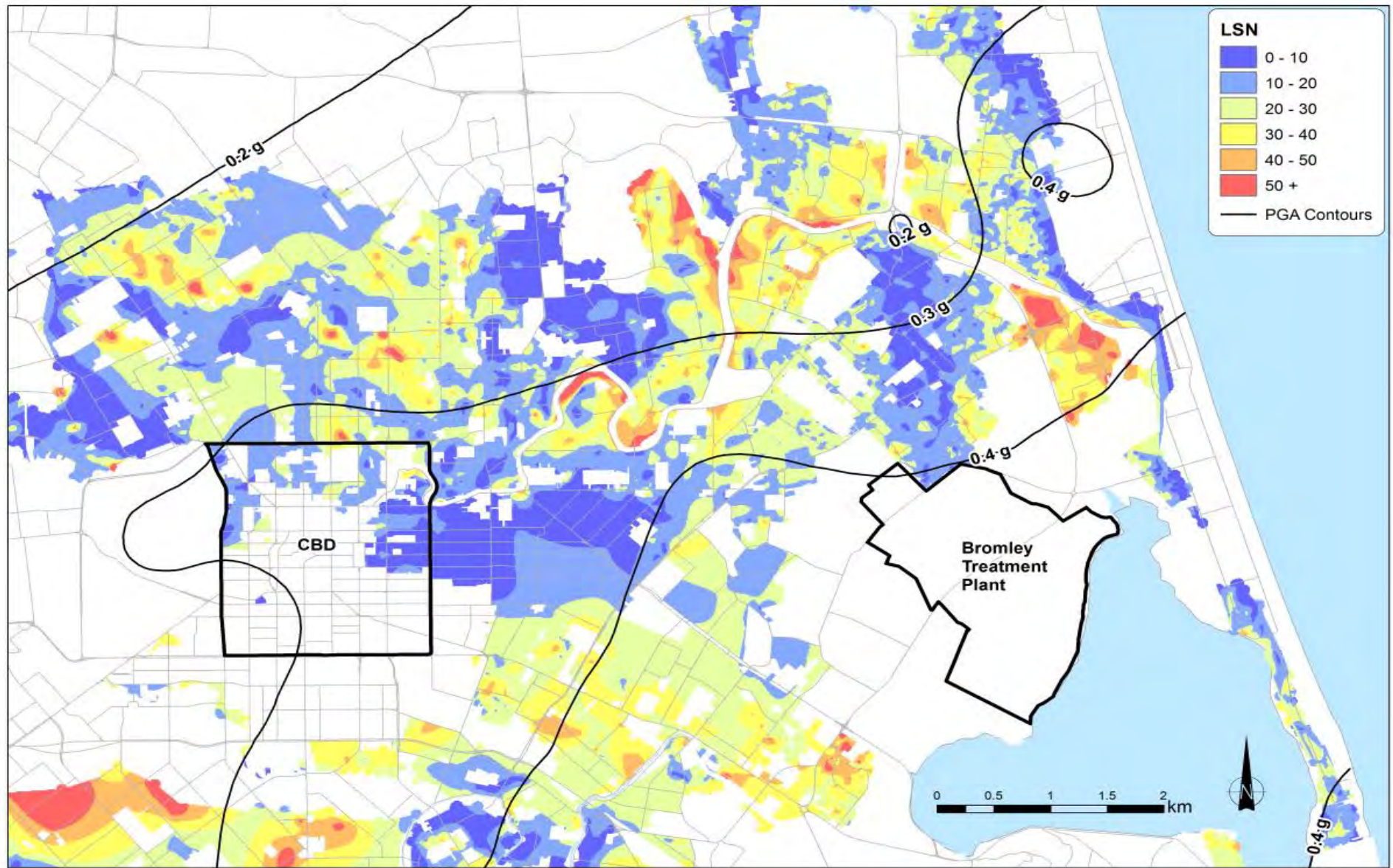
LSN limited by max ε_v

LSN affected by D_r

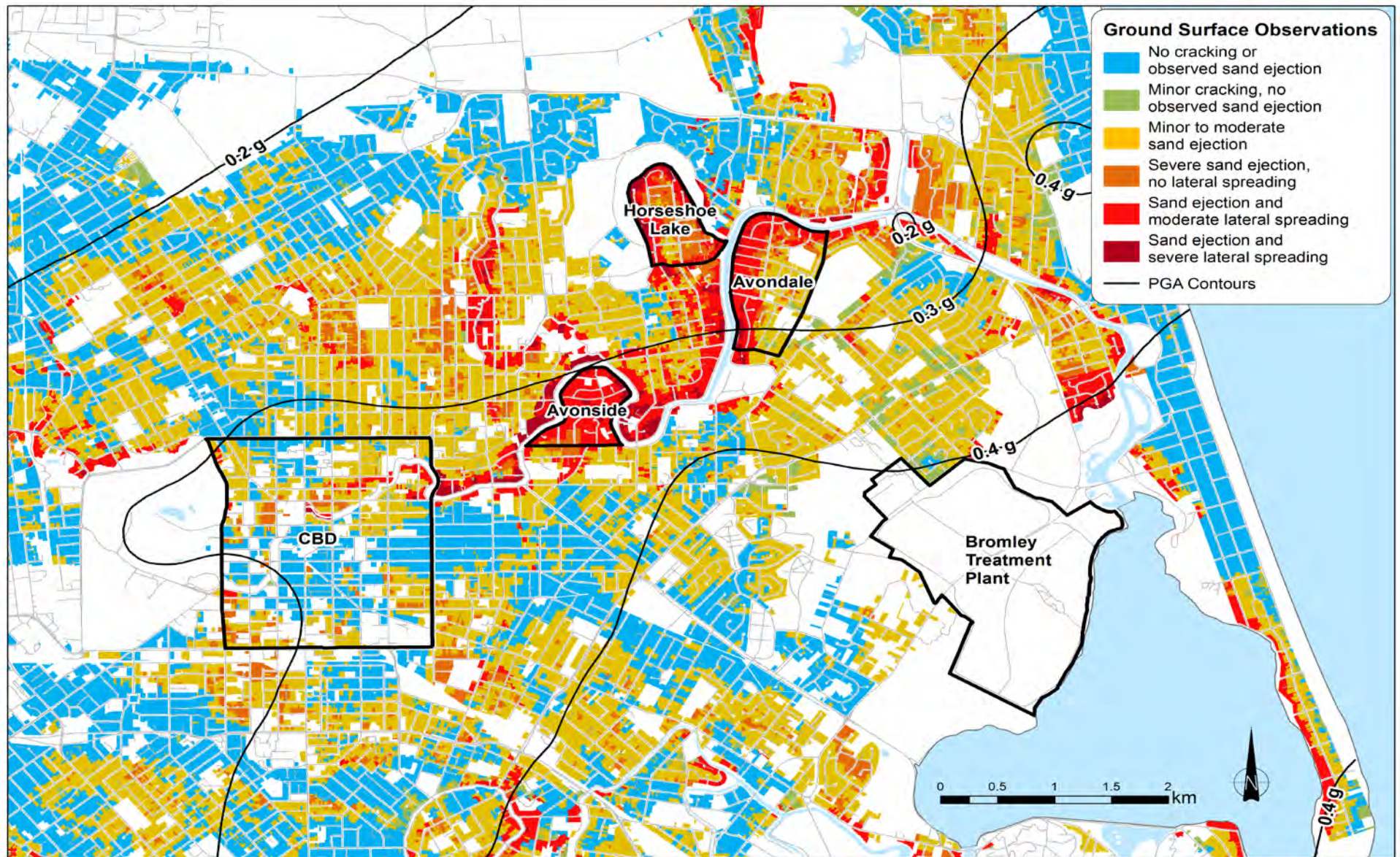
LSN heavily weights shallow layers

$$LPI = \int_0^{20} F_1 W(z) dz$$

Capturing Liquefaction Effects

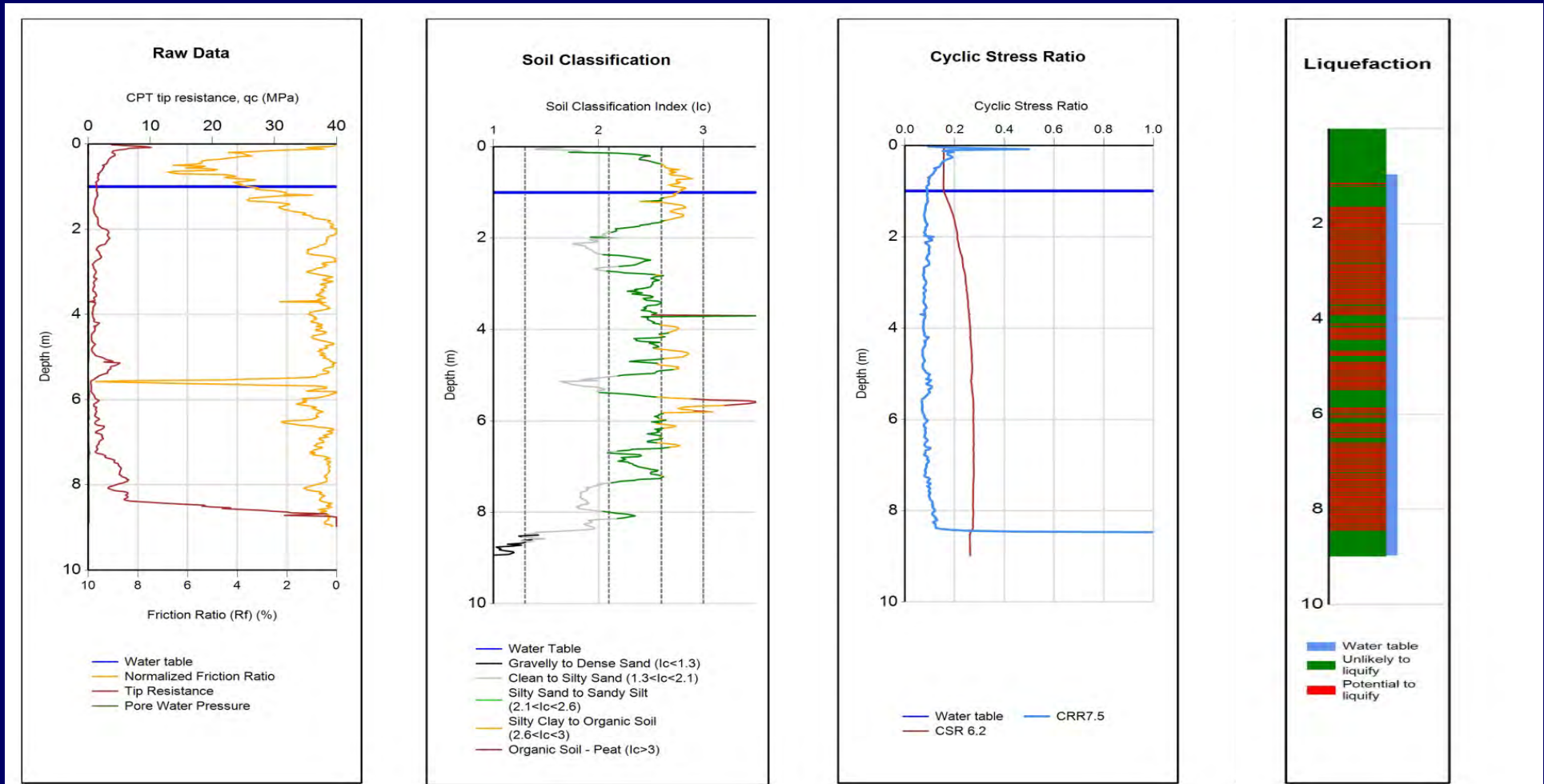


Liquefaction in Christchurch



(van Ballegooy et al. 2014)

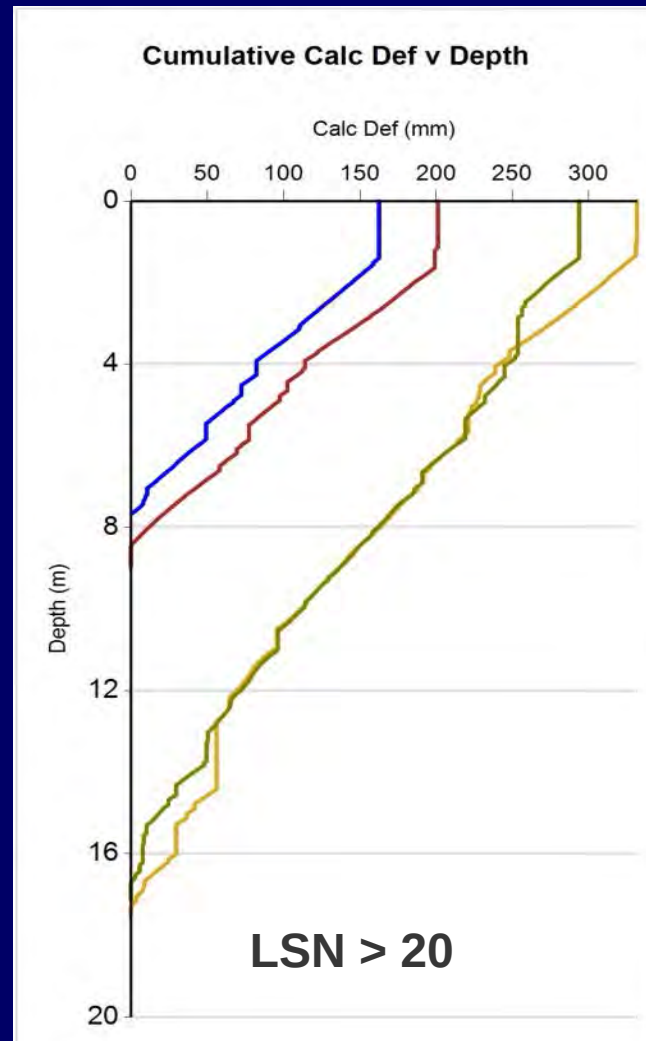
Liquefaction of Silty Sands



CPT at Christchurch site where no liquefaction effects were observed

(from R. Wentz, Wentz-Pacific)

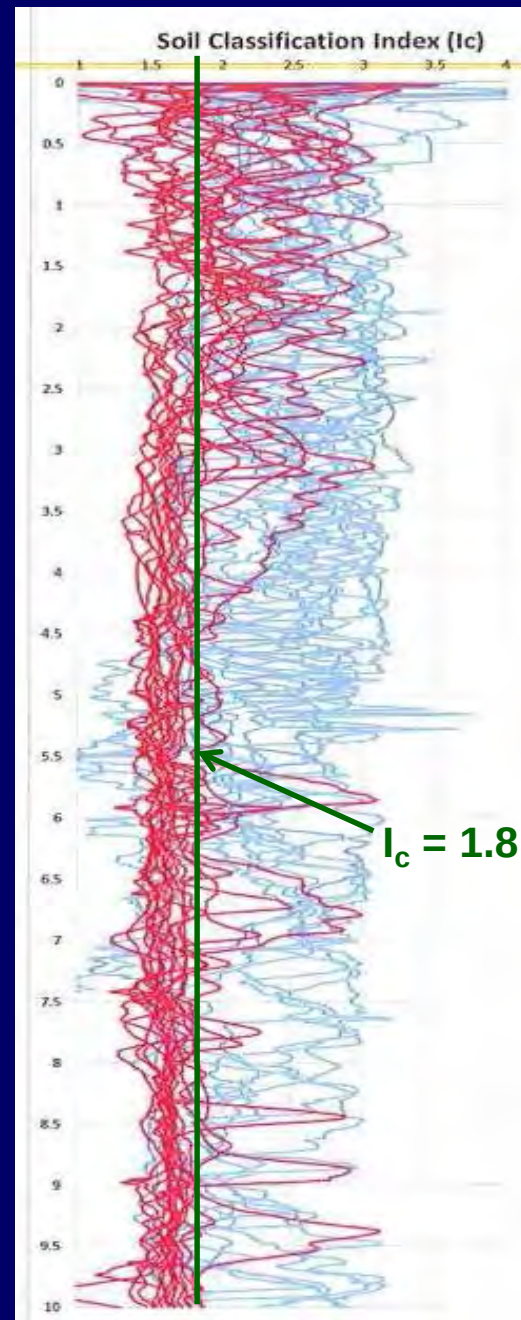
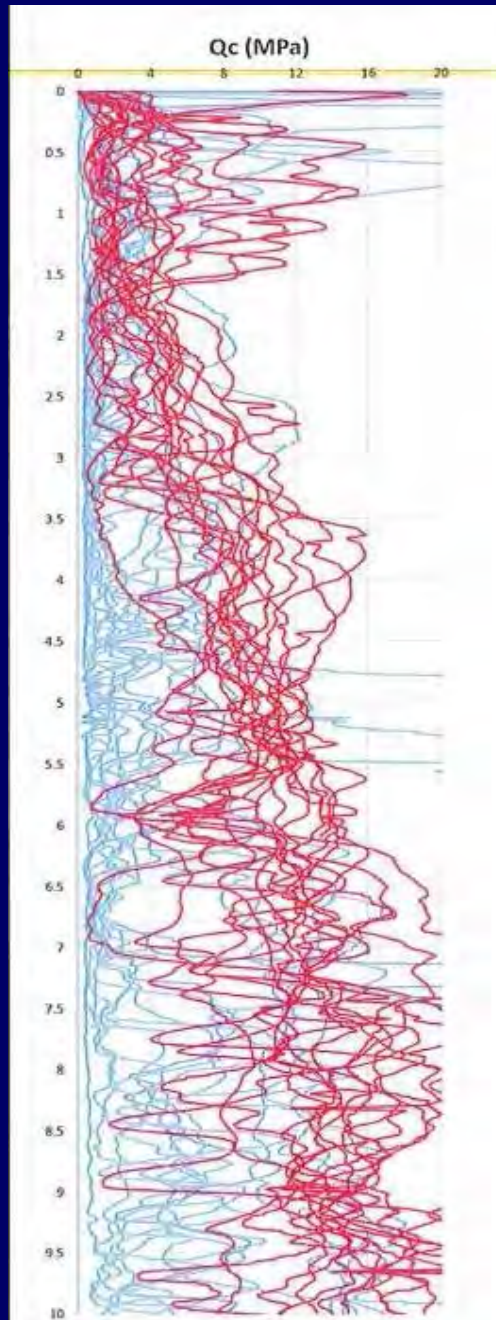
Liquefaction of Silty Sands



Evaluation of 4 CPTs at site where no liquefaction effects were observed

(from R. Wentz, Wentz-Pacific)

Observations of Liquefaction Ejecta



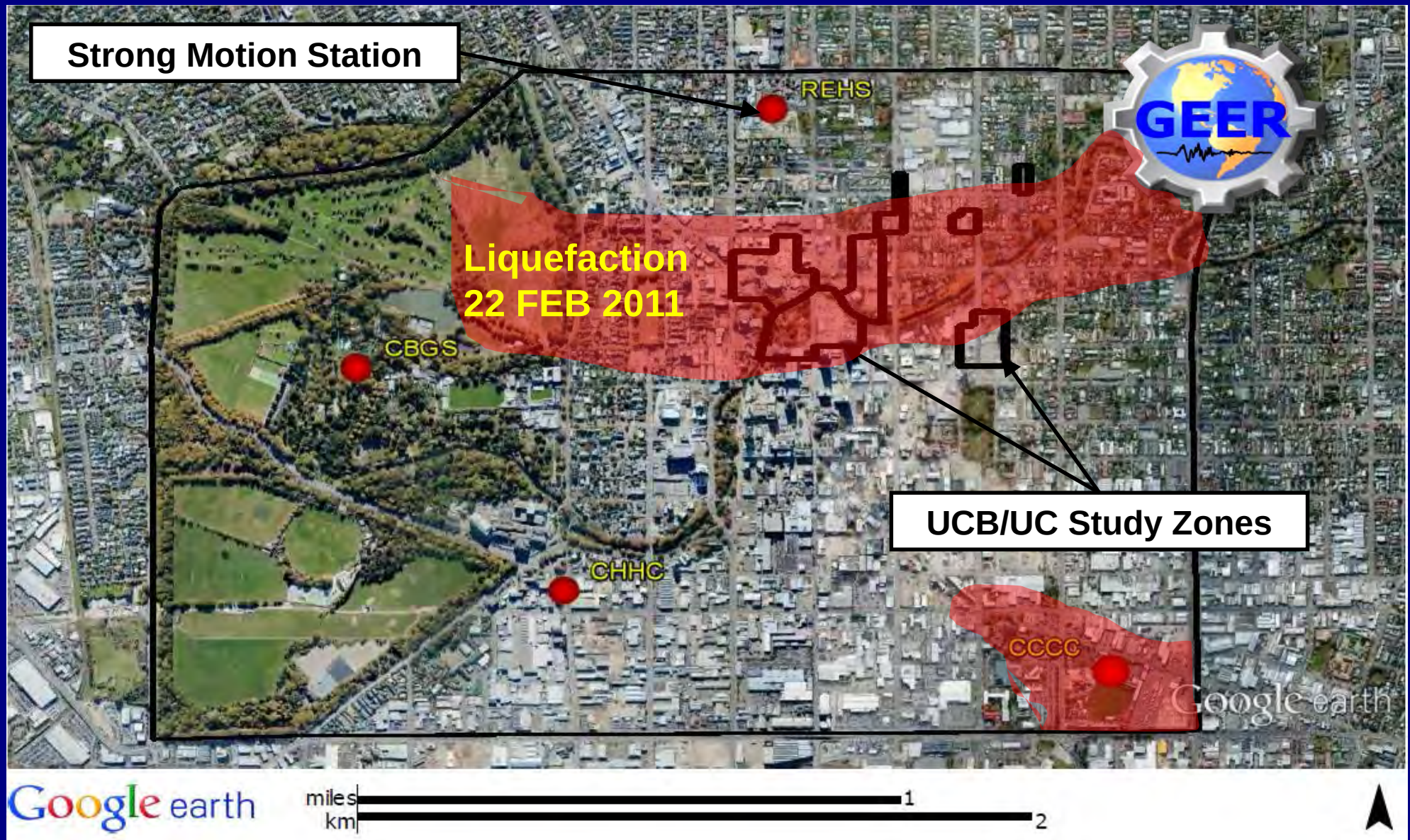
2010 Darfield EQ

Ejecta Observed

No Ejecta

van Ballegooy et al.
Tonkin & Taylor
for the EQC

Central Business District of Christchurch



Seismic Demand in CBD

Event	Median CSR _{M7.5} (16% - 84%)	Level of Liquefaction in CBD
4 SEP 10 M _w = 7.1	0.12 (0.10 – 0.17)	Low
26 DEC 10 M _w = 4.8	0.07	None
22 FEB 11 M_w = 6.2	0.20 (0.16 – 0.28)	Severe
13 JUN 11 M _w = 6.0	0.09 (0.08 – 0.15)	Low
23 DEC 11 M _w = 5.9	0.10	Low

CSR_{M7.5} = 0.65 (PGA/g) / MSF

MSF = 6.9 exp(-M_w/4) – 0.058 ≤ 1.8

Median PGA from recordings; 16% - 84% PGA from Bradley and Hughes (2012)

Liquefaction Effects on Structures



Tilting and Sliding of Buildings



Settlement of Ground next to Piled Bldg.



Cracking due to Differential Settlement



Central Business District of Christchurch

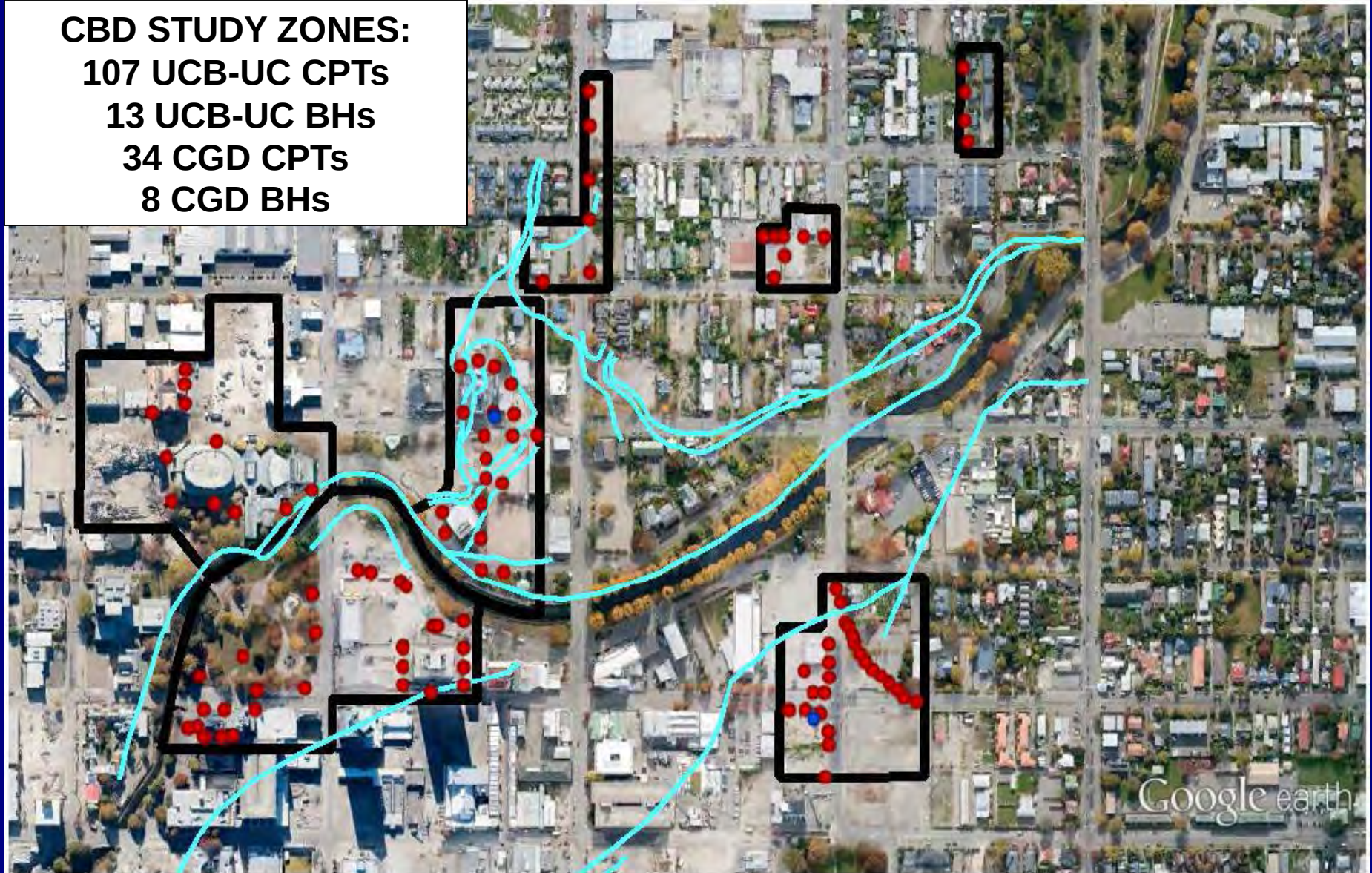
CBD STUDY ZONES:

107 UCB-UC CPTs

13 UCB-UC BHs

34 CGD CPTs

8 CGD BHs



Google earth

feet
meters

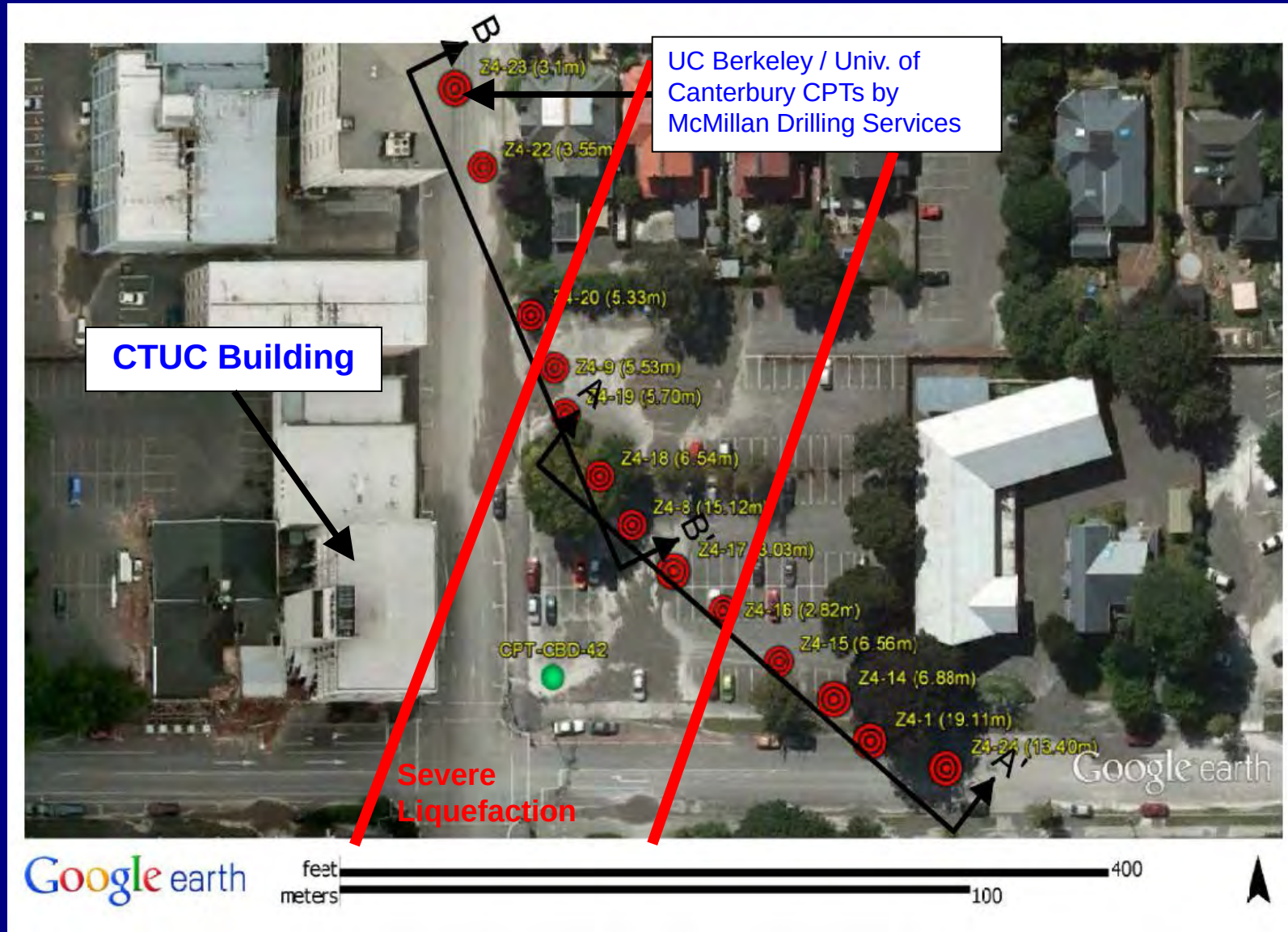
2000

800



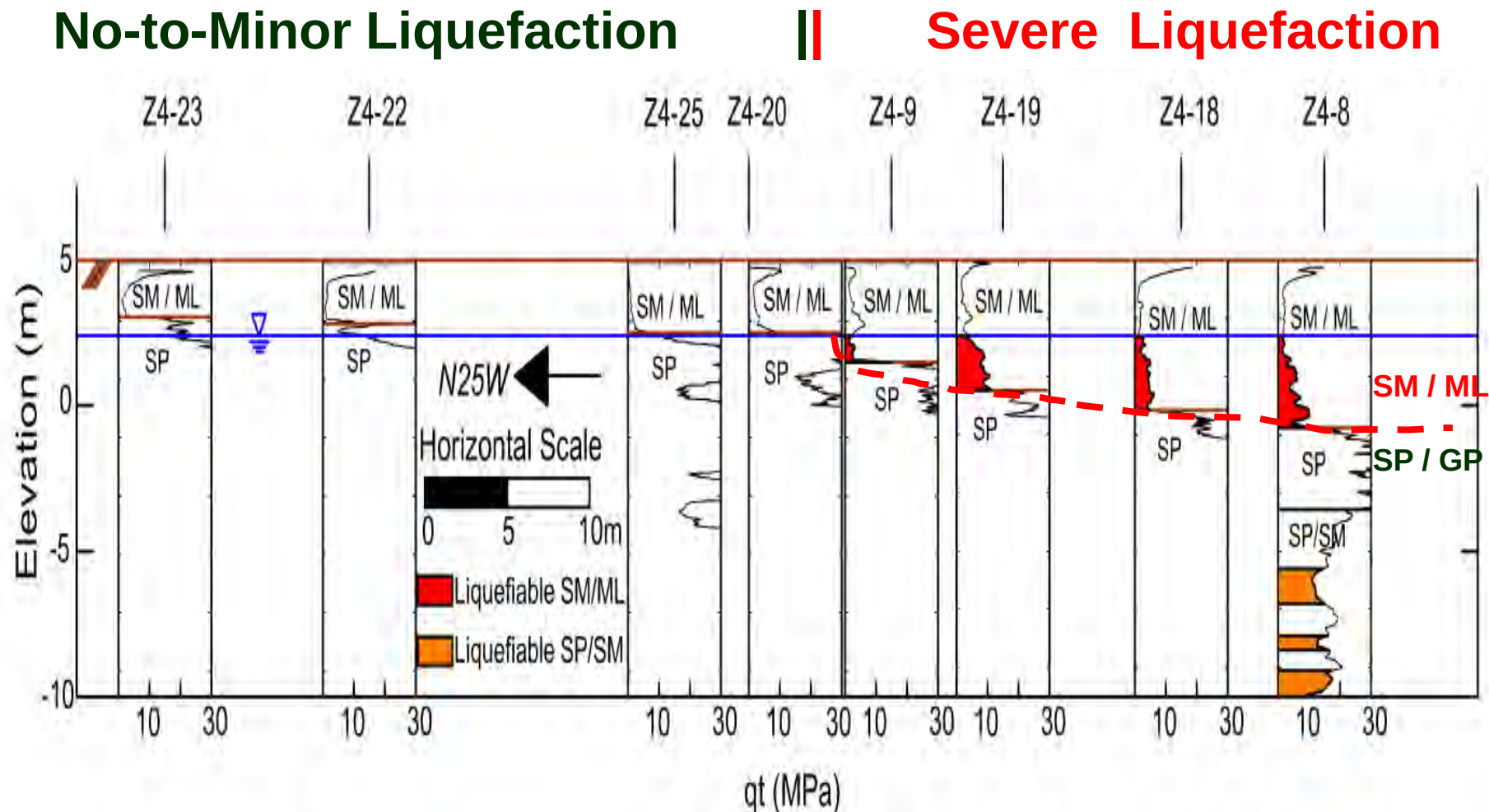
Variability in Shallow Soils

Car Park on Corner of Armagh and Madras Streets



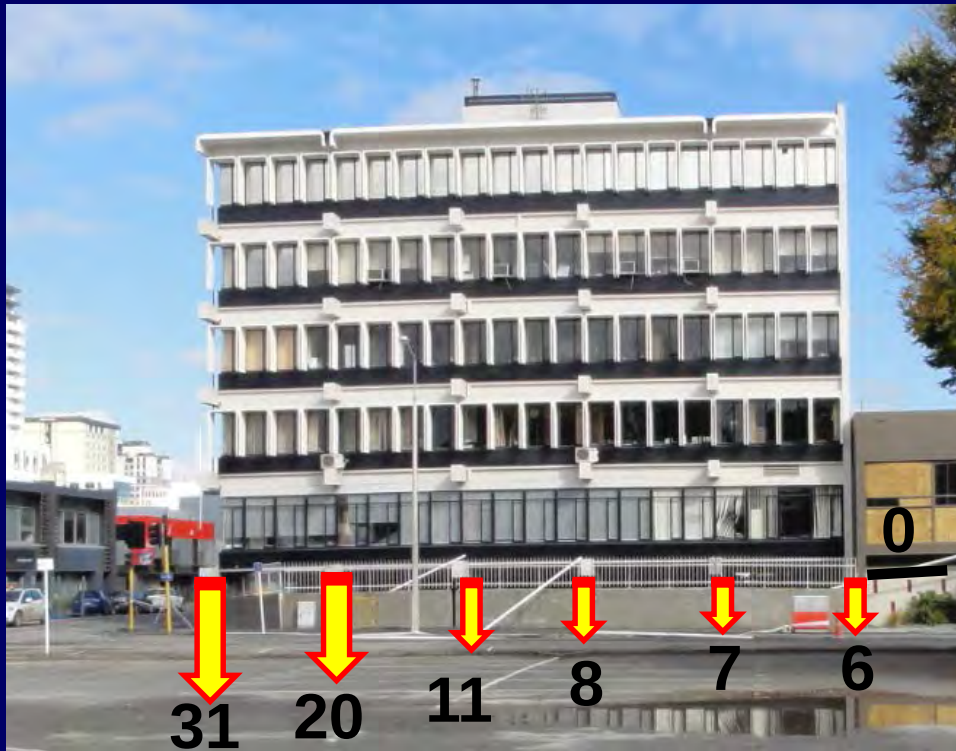
Variability in Shallow Soils

Car Park on Corner of Armagh and Madras Streets



CTUC Building

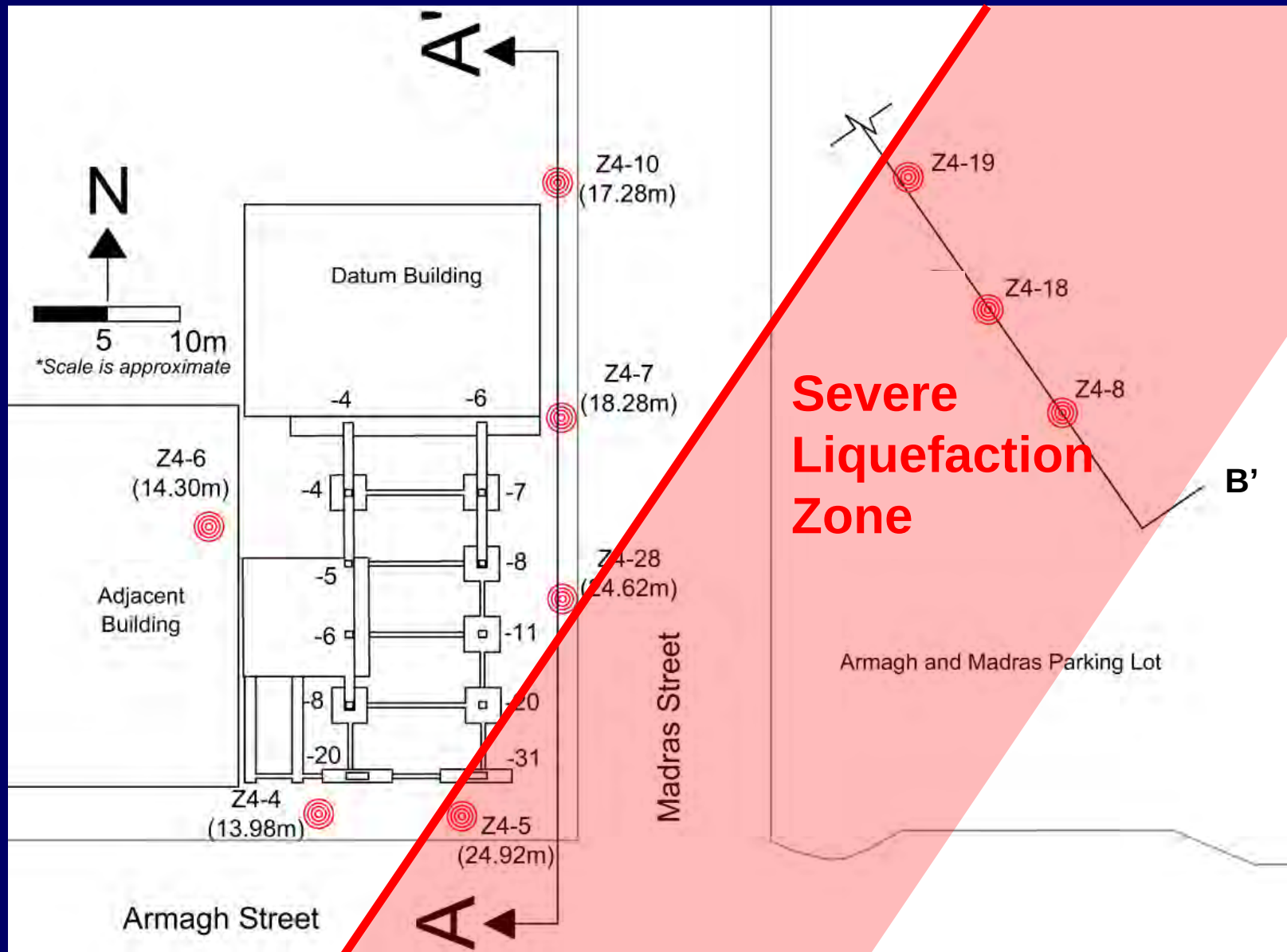
Liquefaction-Induced Differential Settlement Induces Distress



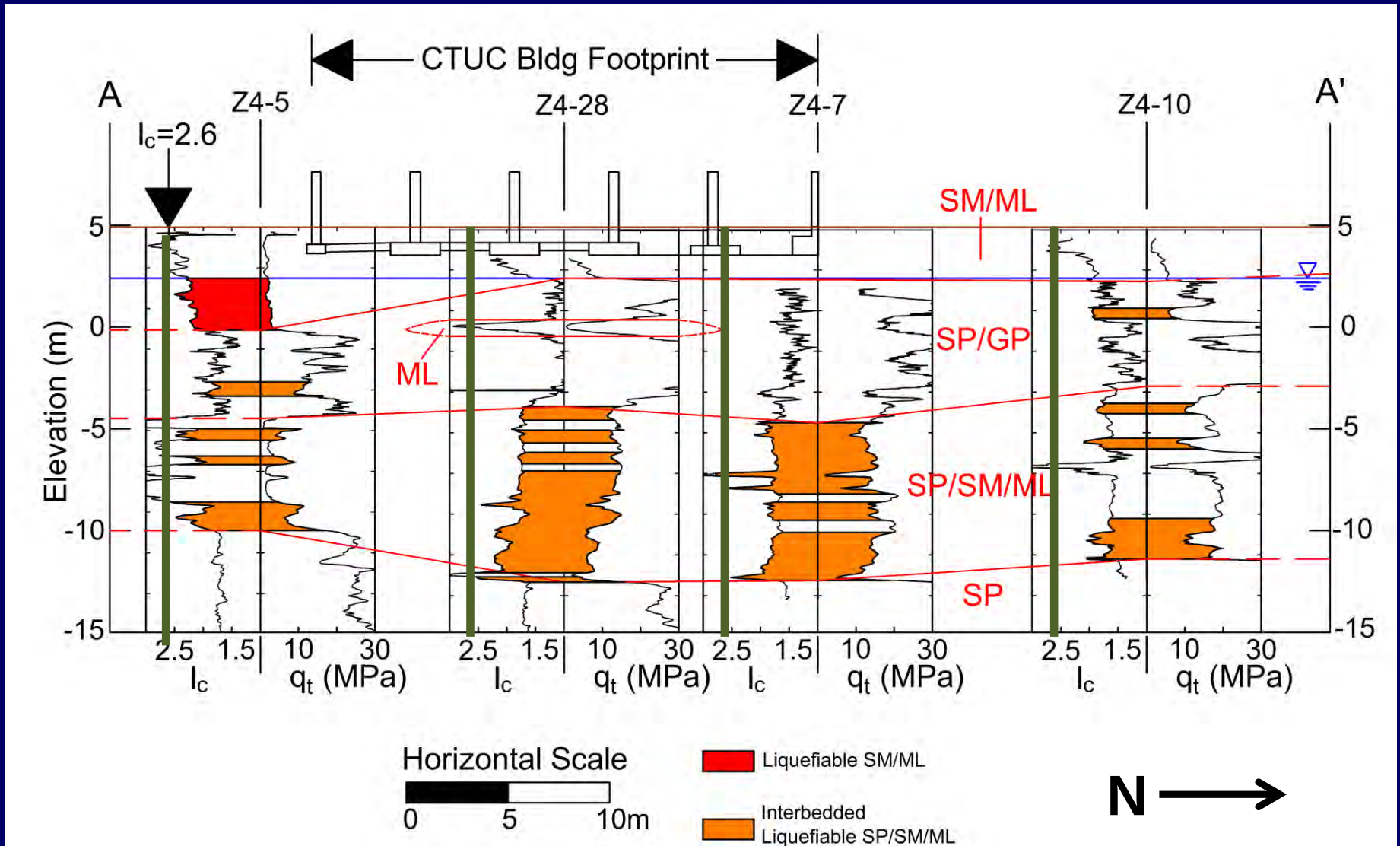
Building Settlement (cm)
Maximum Angular Distortion $\approx 1/50$



CTUC Building

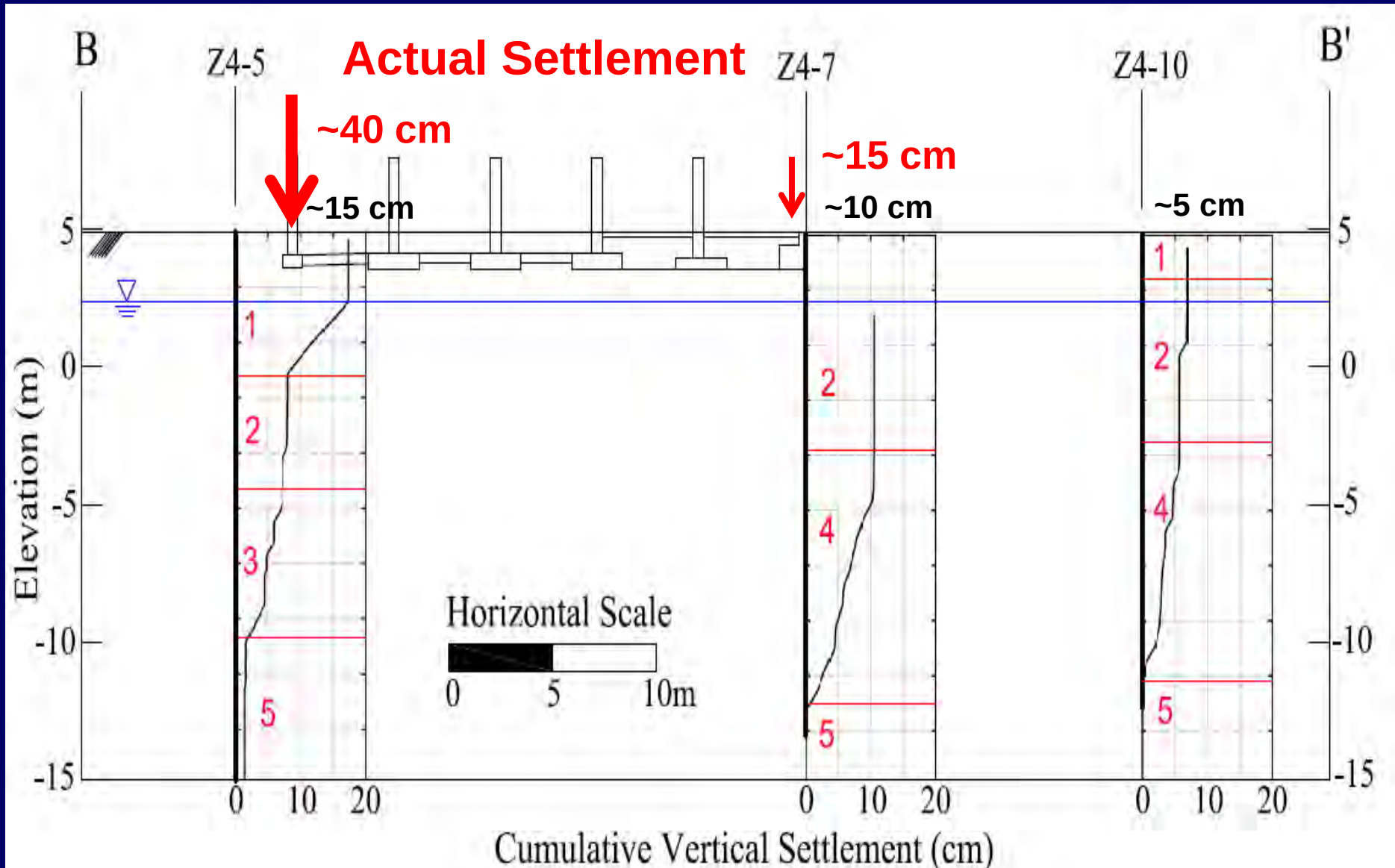


CTUC Building: Christchurch EQ



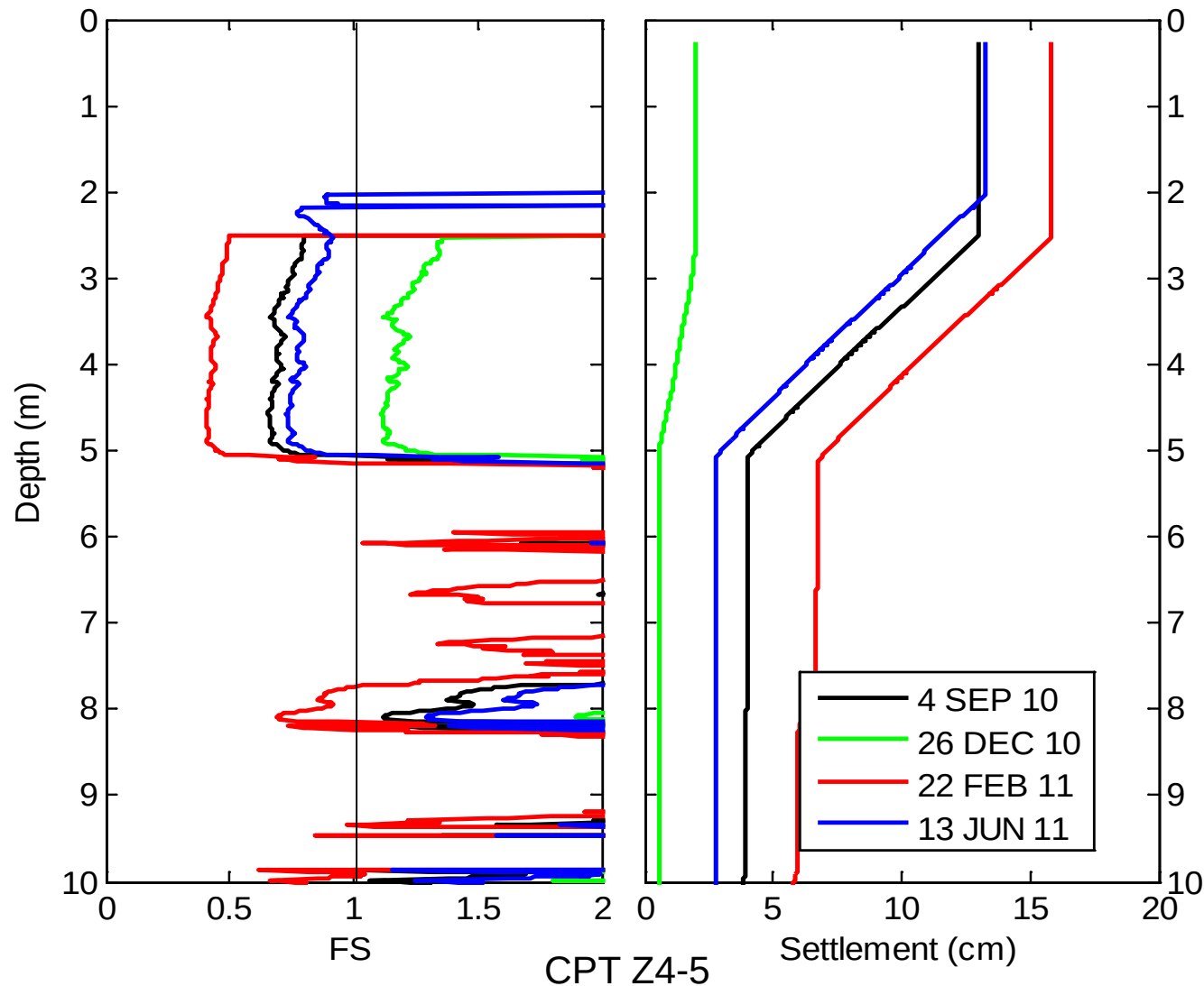
2011 Christchurch EQ: Robertson & Wride (1998)

CTUC Building Settlement



Robertson & Wride (1998) & Zhang, Robertson et al. (2002)

CTUC Building: Sensitivity of Results



SA Building

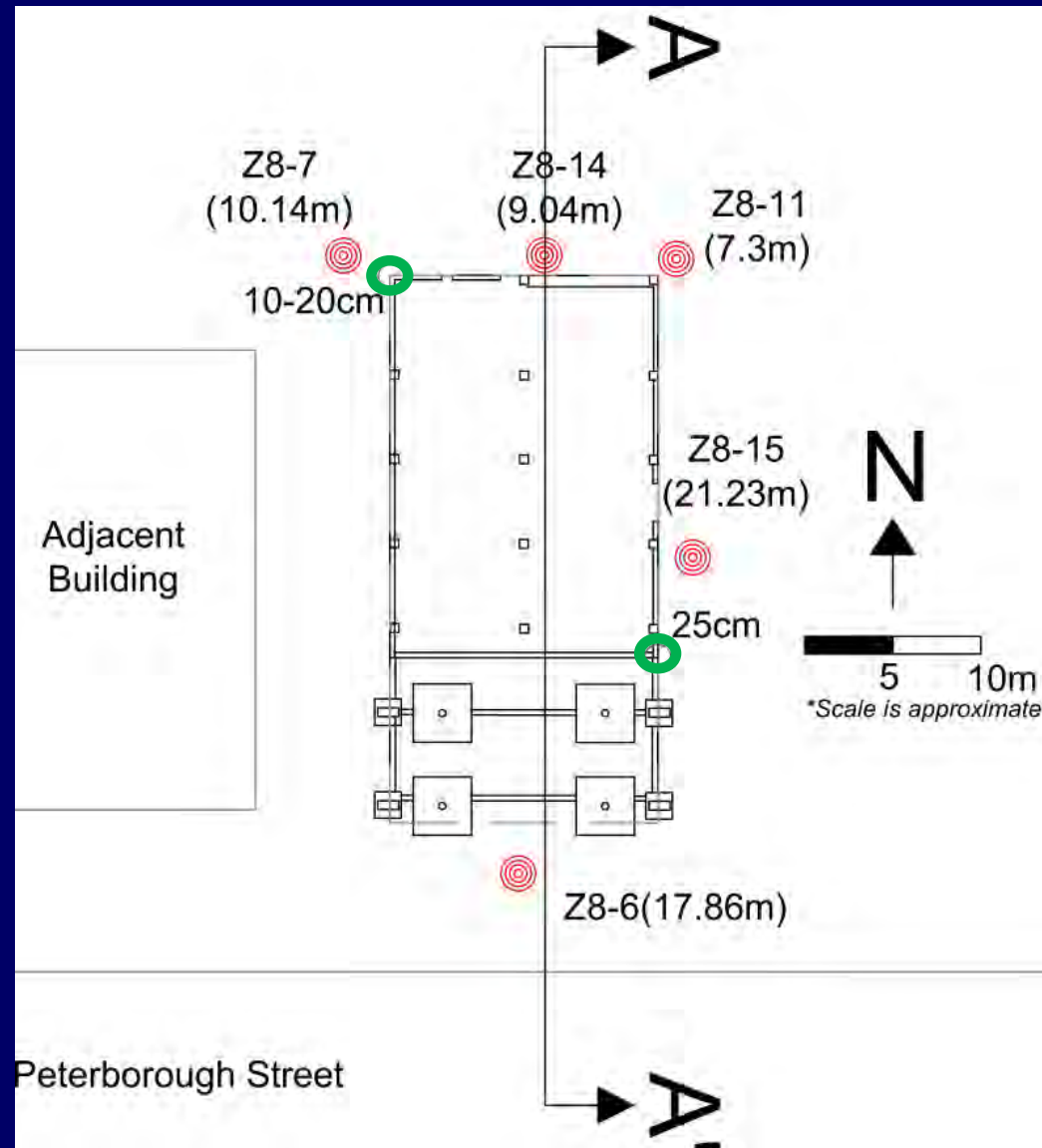
Liquefaction-Induced Differential Settlement



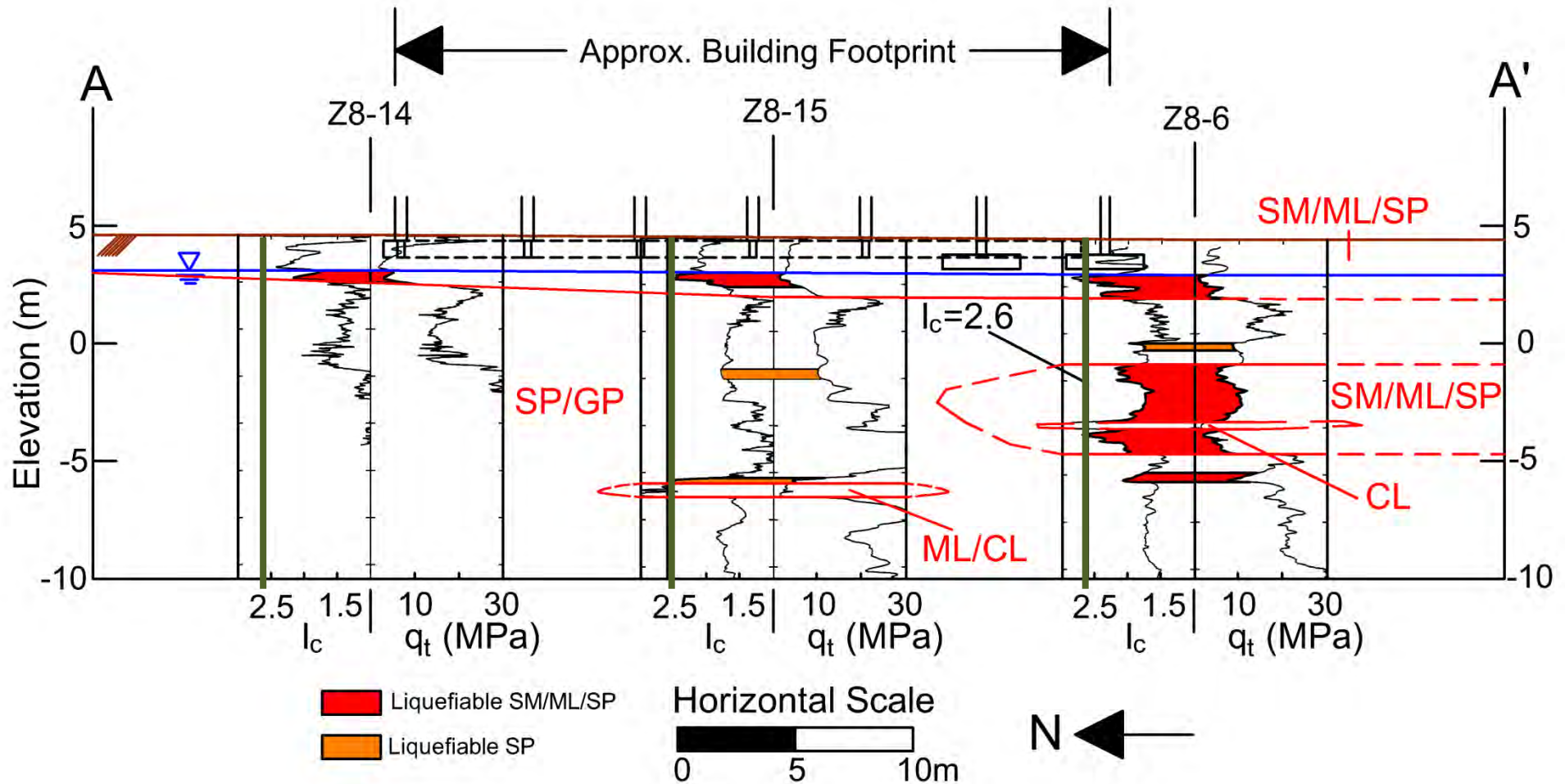
GEER: Bray, Cubrinovski et al.

SA Building

Liquefaction-Induced Differential Settlement

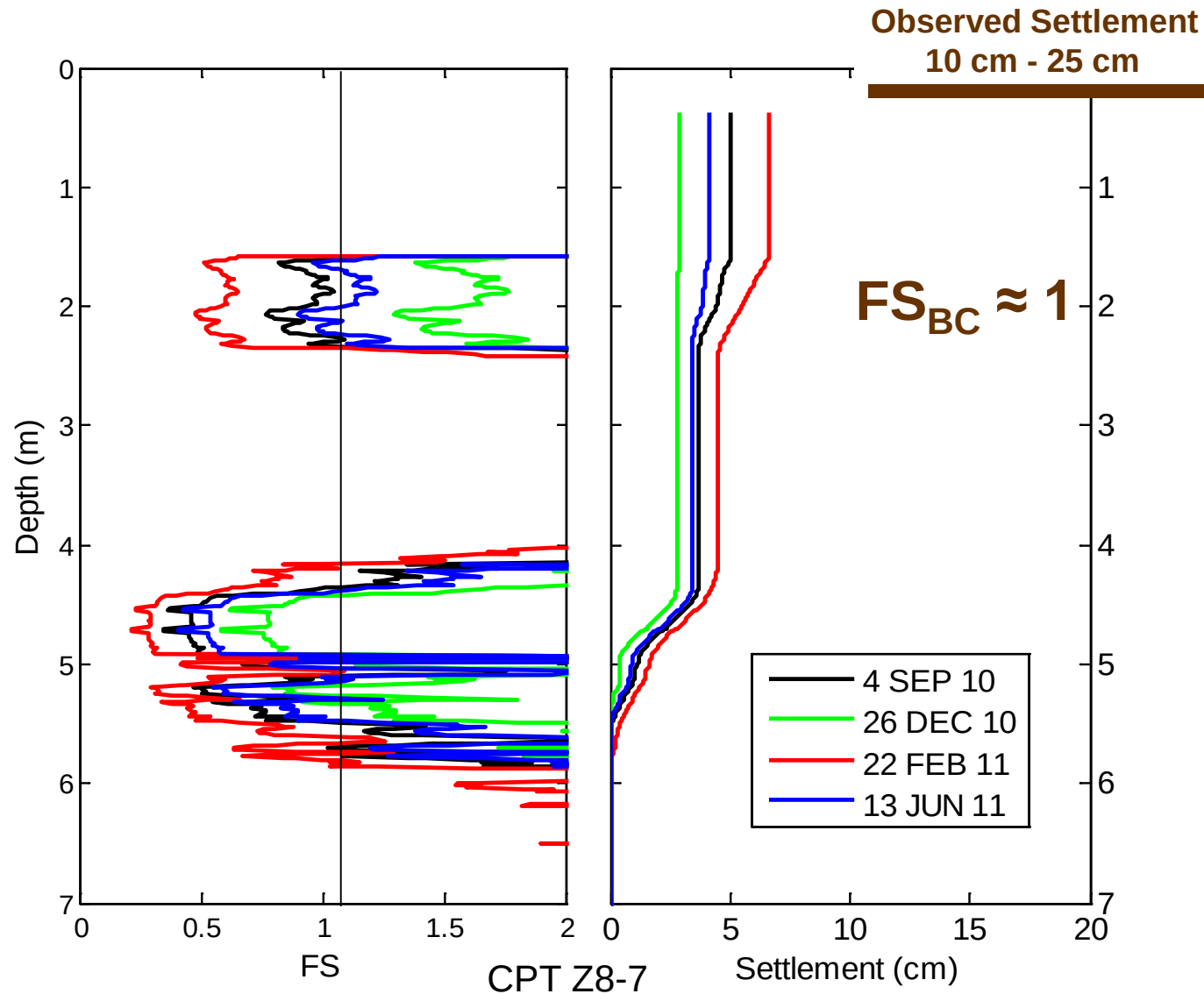


SA Building: Christchurch EQ



2011 Christchurch EQ: Robertson & Wride (1998)

SA Building: Sensitivity of Results

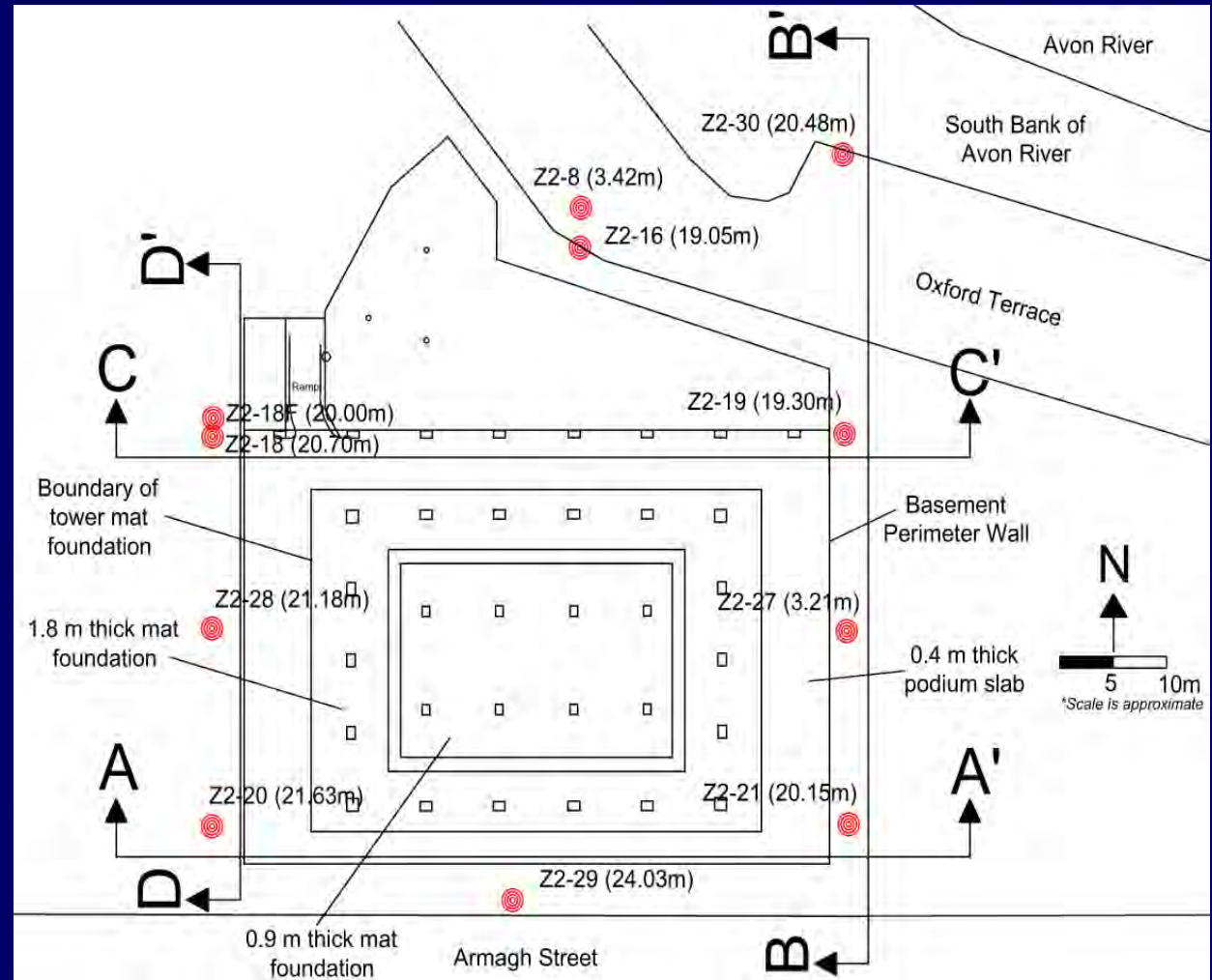


PWC Building

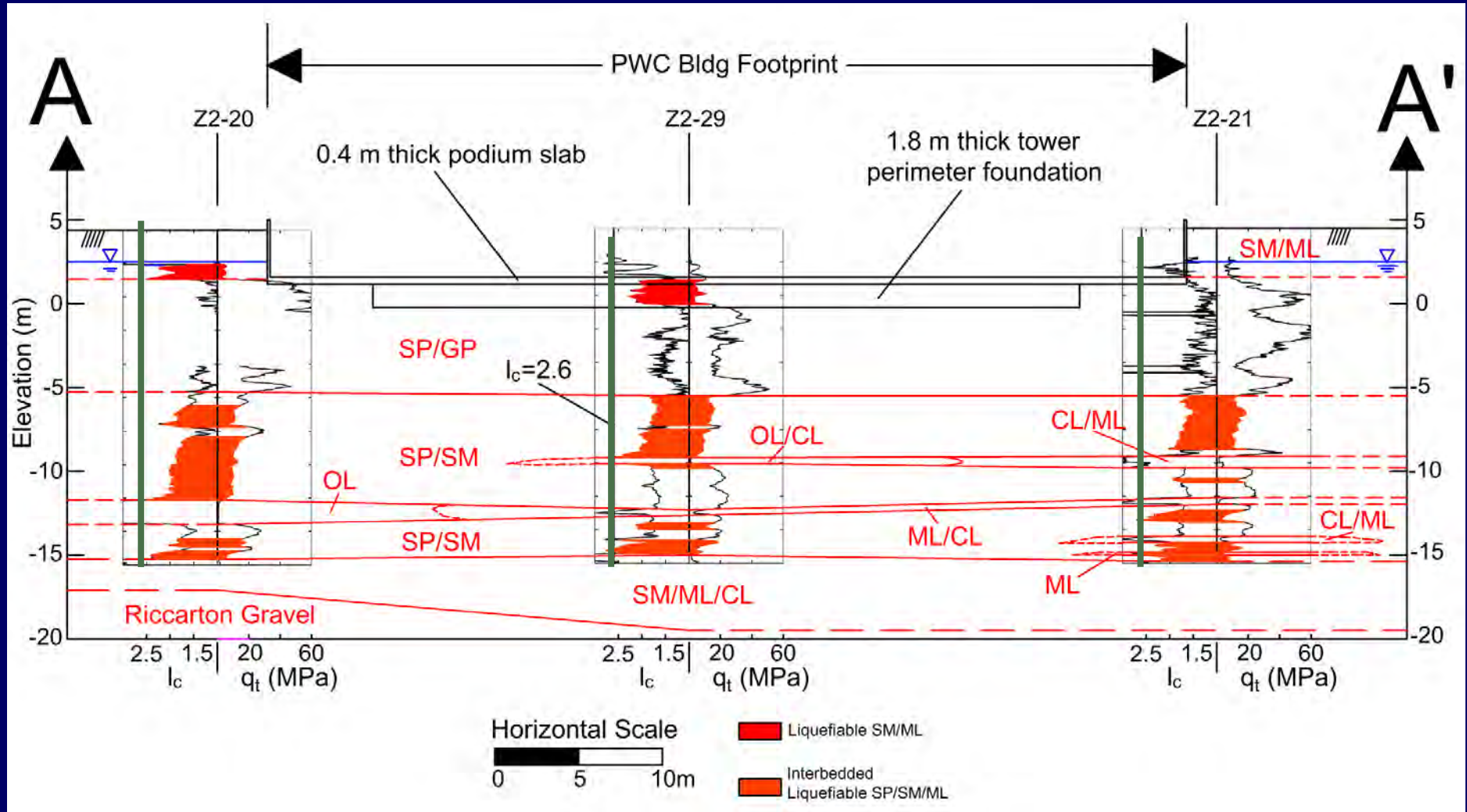
Liquefaction-Induced Differential Settlement and Tilt



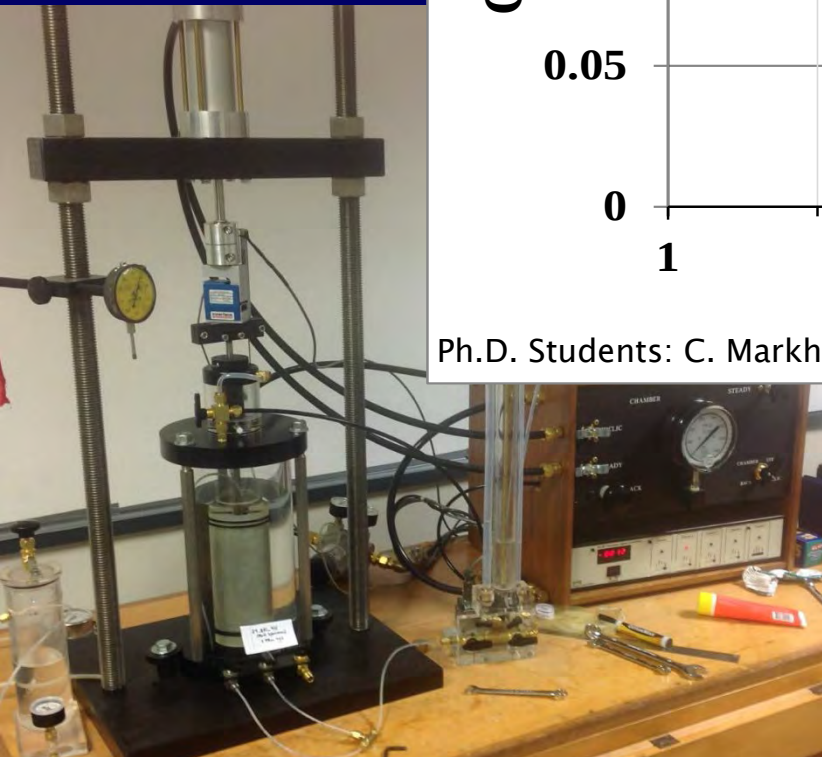
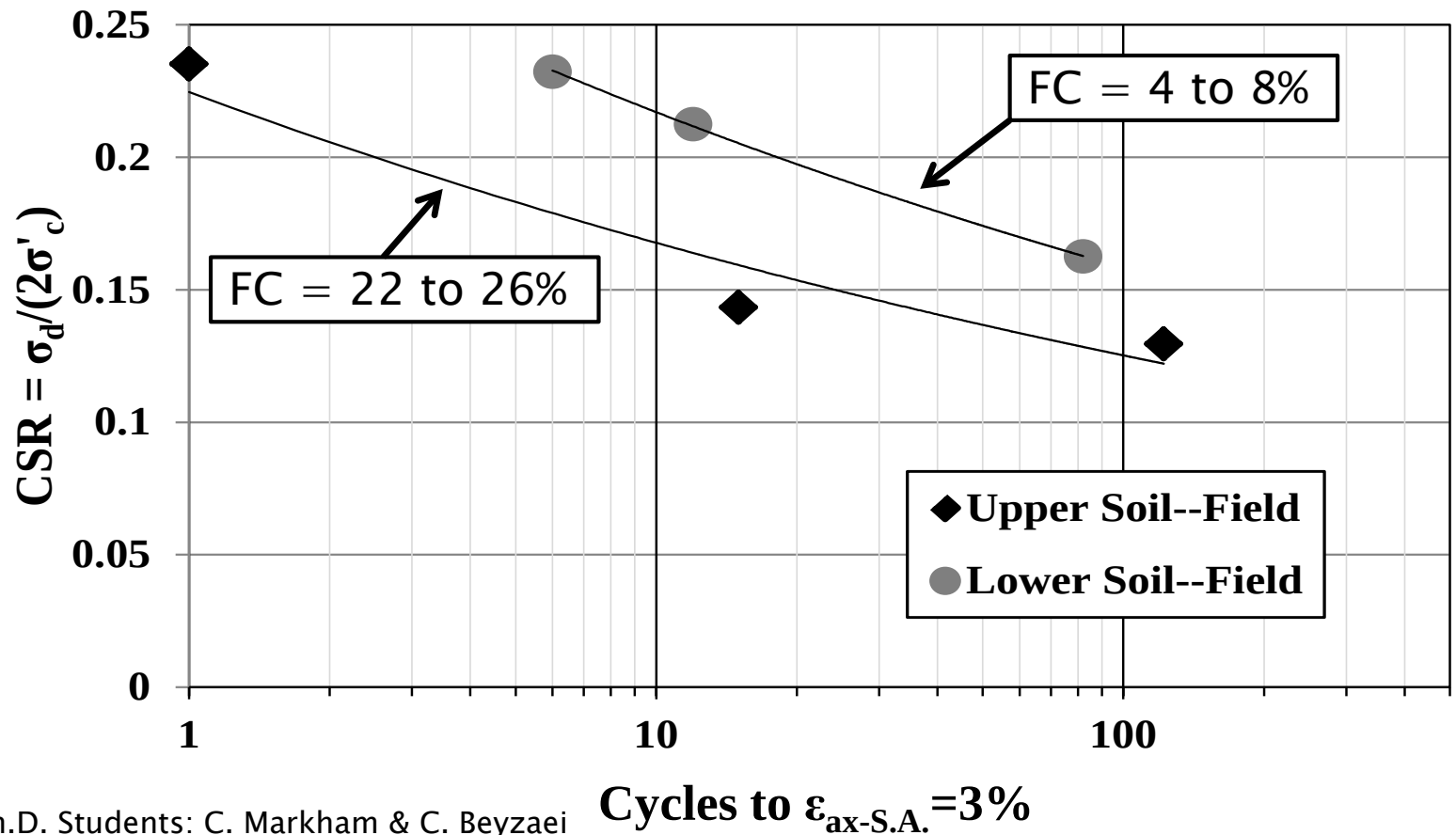
21 stories on basement mat



PWC Building



Cyclic Triaxial Test Results



CTUC Building Shallow Soils

“Undisturbed” Soil Sampling & Testing

D&M Thin- Walled Piston Sampler



Careful Handling



Cut



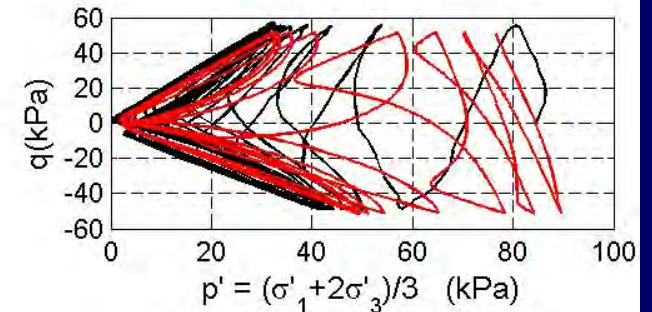
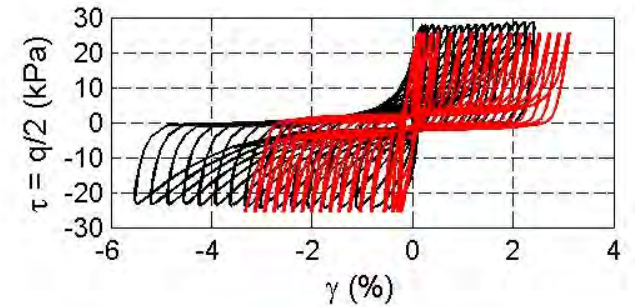
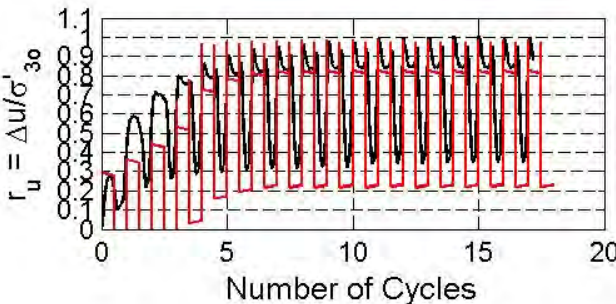
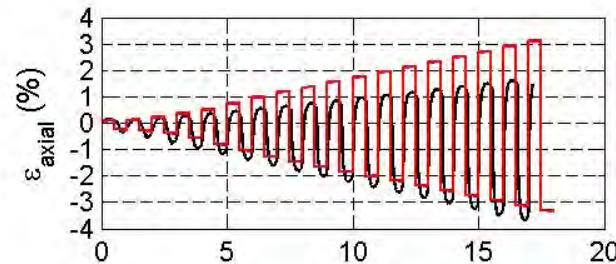
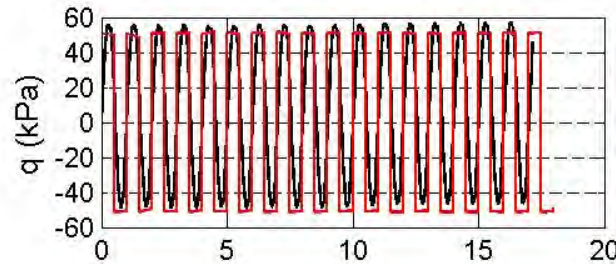
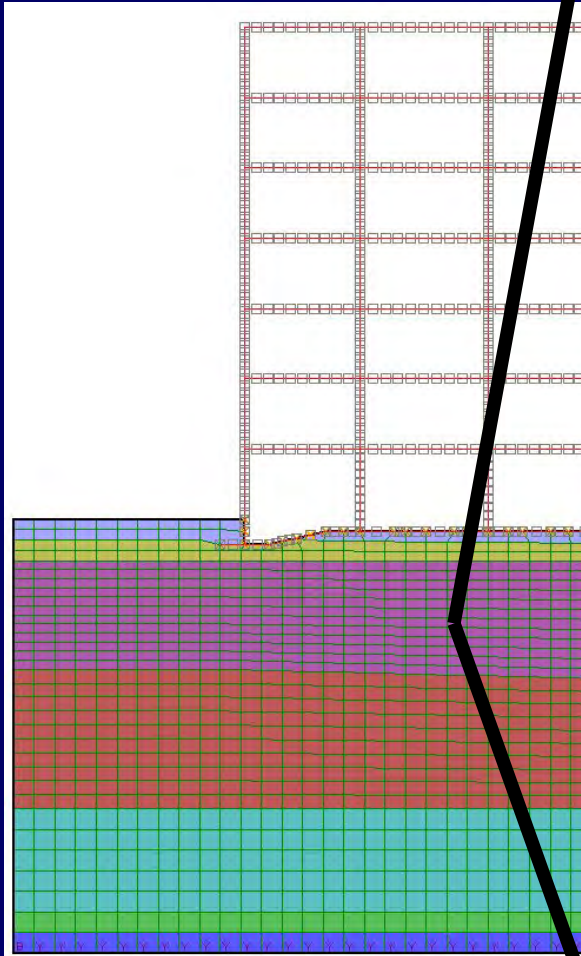
Extrude



Test

Calibration of Constitutive Model for Numerical Analyses

FTG-7 Building



ICU Static TX Comp. Data vs. PM4SAND

Site:	151 Kil. St	ρ_{sat} (kg/m ³):	1758
Borehole:	DM BH2	D_r (%):	47
Sample No.:	4U	G_o :	392
Nearest CPT:	Z1-B3	h_{p0} :	3.25
Spec. Depth (m):	5.88	e_{max} :	0.93
Gs:	2.7	e_{min} :	0.59
e_o :	0.77	n_o :	0.5
σ'_{30} (kPa):	84.7	n_d :	2
PI (%):	...	A_{d0} :	3.1812
USCS:	...	Z_{max} :	4.396
FC (%):	12	c_u :	250
$N_{5\% SA}$ (Lab)	14	c_s :	1.8
$N_{5\% SA}$ (Flac)	16.5	ϕ_{cv} :	33
$N_{5\% DA}$ (Lab)	16.5	v_c :	0.3
$N_{5\% DA}$ (Flac)	14	Q :	9.5
		R :	1

Ph.D. Students: C. Markham & R. Luque

LIQUEFACTION



1906 San Francisco EQ (Lawson et al. 1908)



1989 Loma Prieta EQ

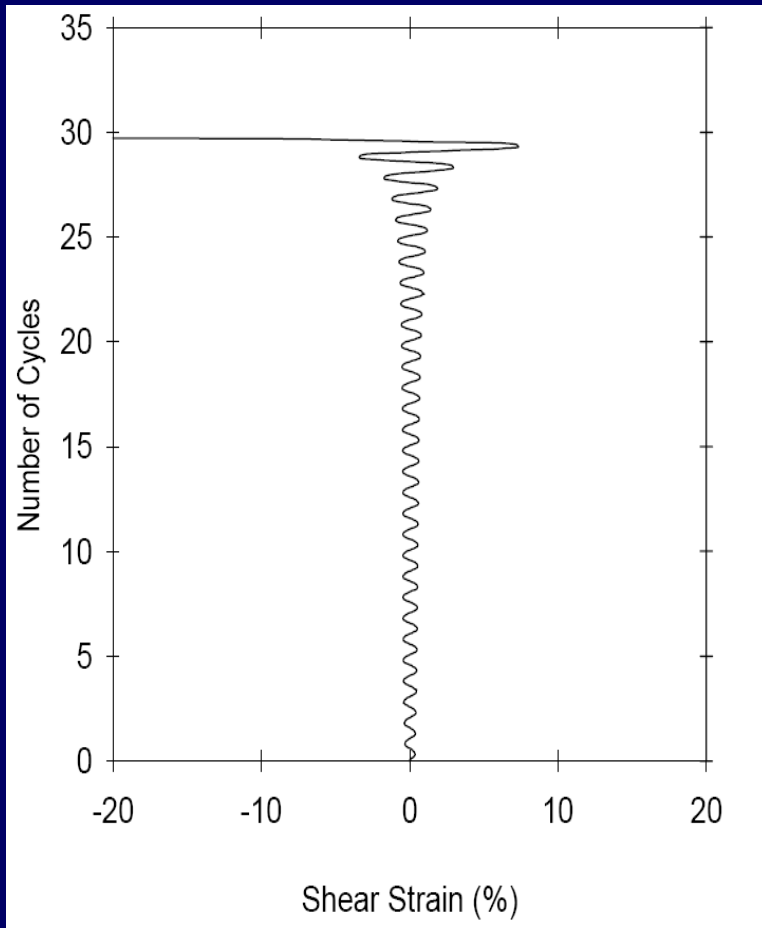
EFFECTS



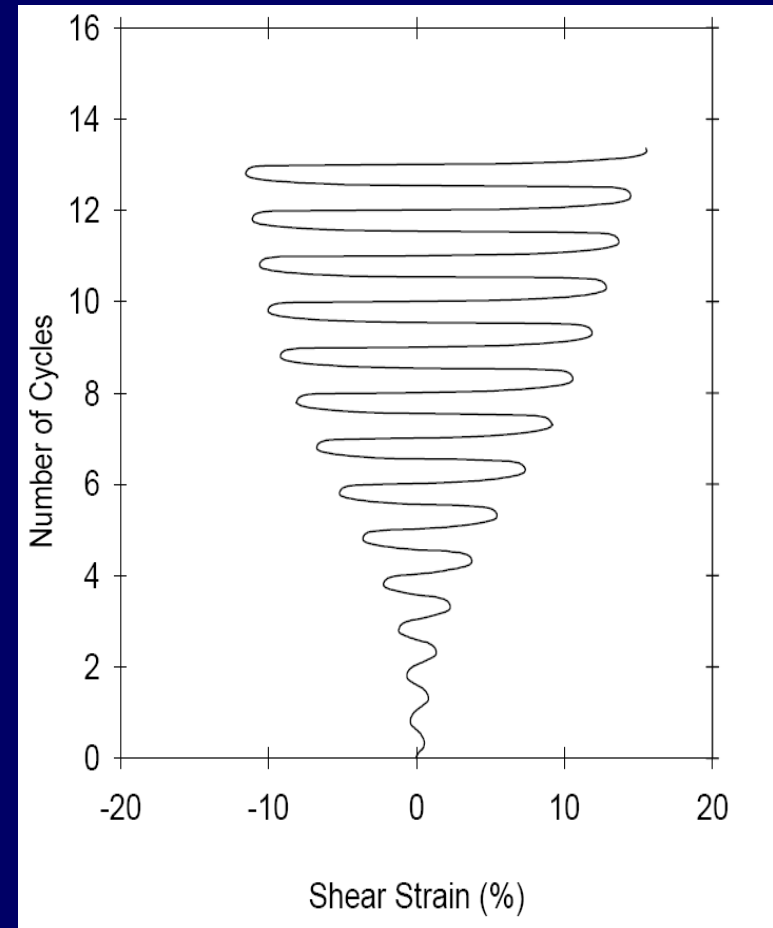
1964 Niigata, Japan EQ (from H.B. Seed)



LIQUEFACTION EFFECTS

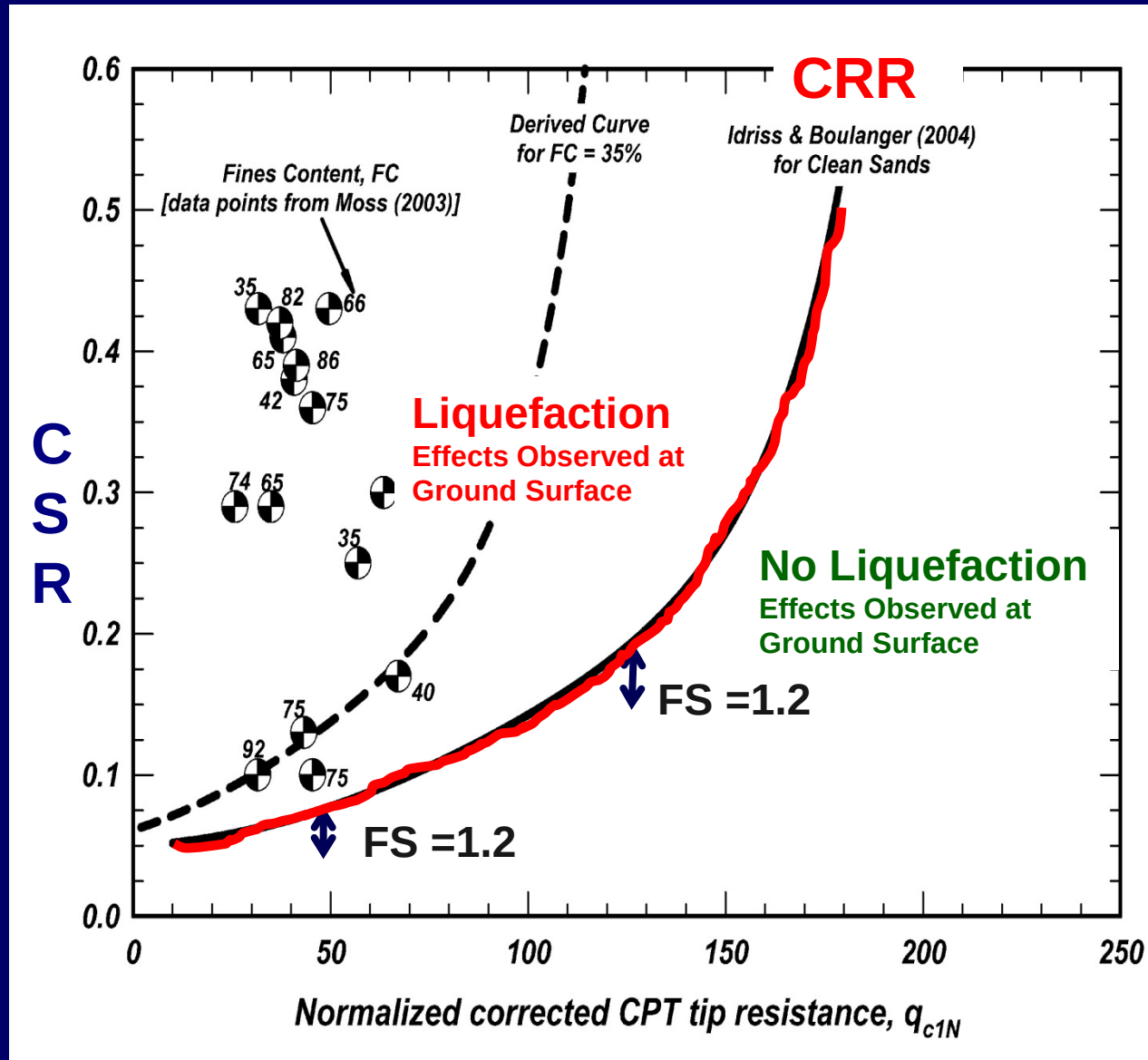


Flow Liquefaction
(strain-softening → large strain)



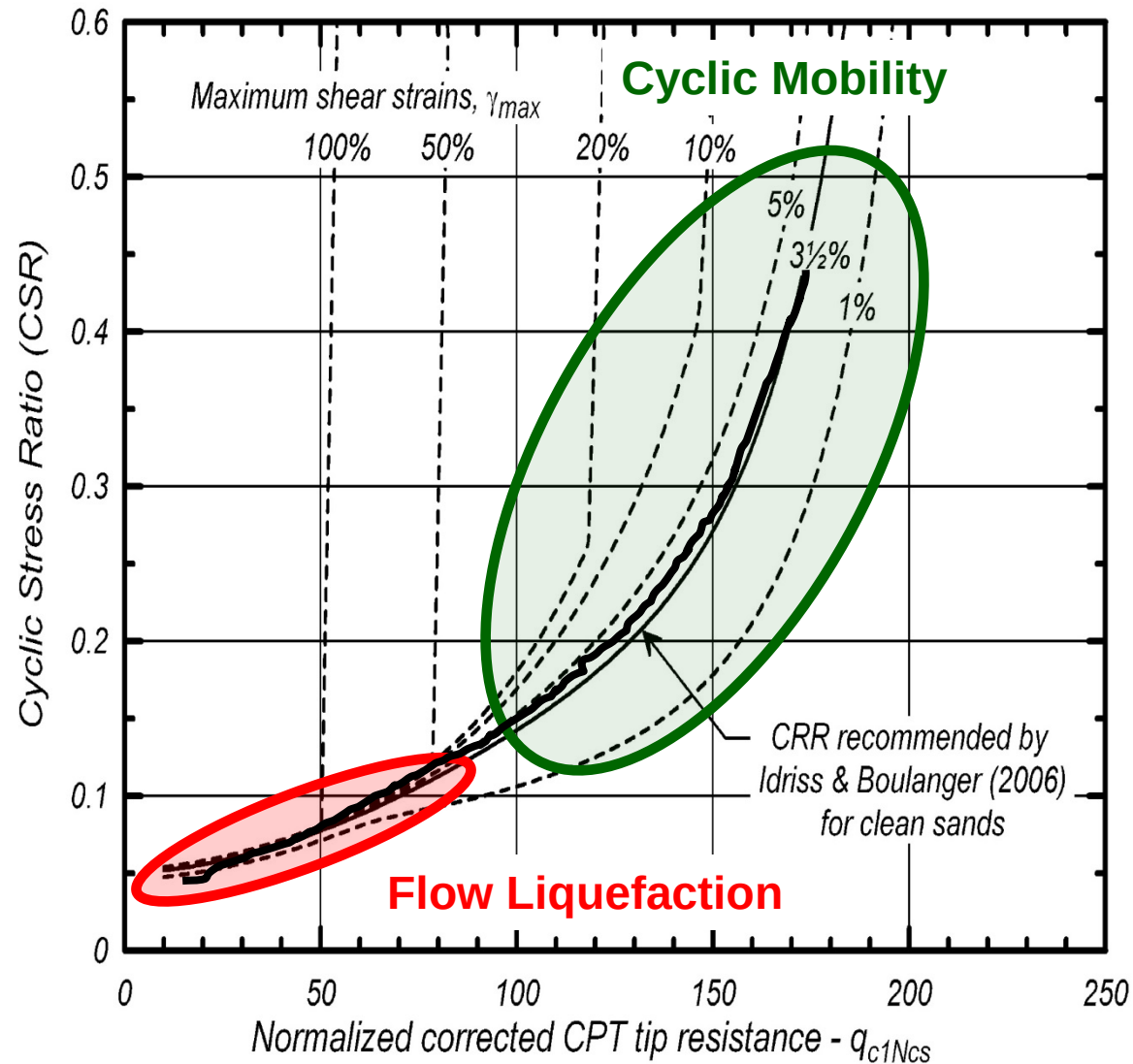
Cyclic Mobility
(strain-hardening → limited strain)

LIQUEFACTION Factor of Safety (FS)

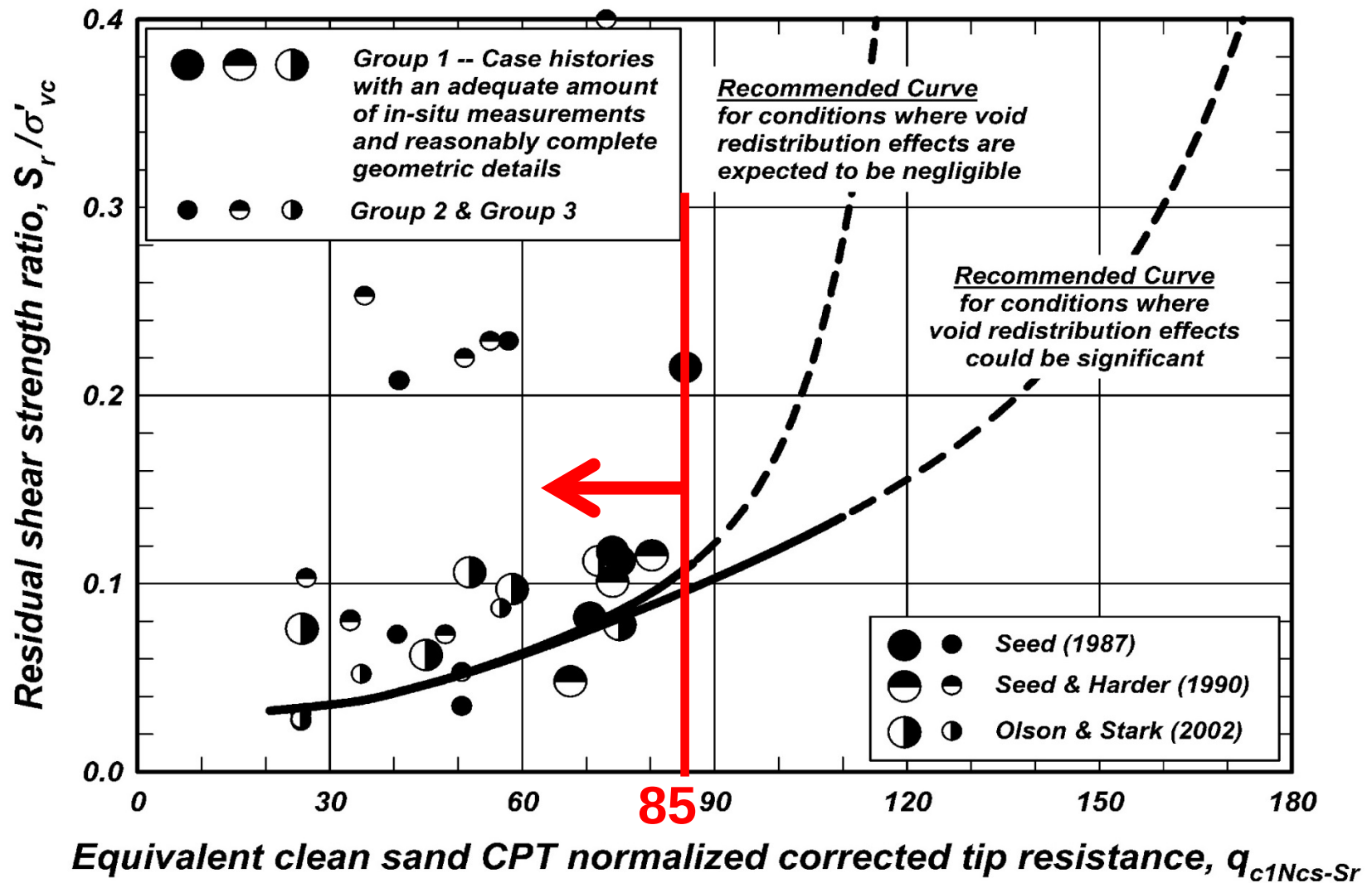


$$FS = \text{CRR} / \text{CSR}$$

LIQUEFACTION EFFECTS



Liquefaction Flow Slides when $q_{c1Ncs-Sr} < 85$



CONCLUSIONS

- Liquefaction severely damaged ground, buildings, and buried utilities in Christchurch
- Loose shallow silty sand layer led to much of the damage in CBD, especially in areas with ejecta
- Shear-induced deformation is critical mechanism
- Current analytical procedures do not capture effects of liquefaction well in Christchurch
- Each EQ was well recorded, so there is an opportunity to refine analytical procedures

RECOMMENDATIONS

For level ground conditions with no free-face:

Pile foundation with its neutral plane in firm ground below the liquefiable layer will not settle significantly

Shallow foundation with deep liquefiable layer will largely undergo volumetric reconsolidation that can be estimated using 1D procedures

Shallow foundation with shallow liquefiable layer can undergo largely shear-induced movements that cannot be estimated using available 1D procedures

Effective stress analyses based on good earthquake & soil characterization can provide useful insights